# How hidden 3D structure within crack fronts reveals energy balance

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#### 4 Abstract

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Griffith's energetic criterion, or 'energy balance', has for a century formed 5 the basis for fracture mechanics; the energy flowing into a crack front is pre-6 cisely balanced by the dissipation (fracture energy) at the front. If the crack front structure is *not* properly accounted for, energy balance will either ap-8 pear to fail or lead to unrealistic results. Here, we study the influence of the 9 secondary structure of low-speed crack propagation in hydrogels under tensile 10 loading conditions. We first show that these cracks are bistable; either sim-11 ple (cracks having no secondary structure) or faceted crack states (formed by 12 steps propagating along crack fronts) can be generated under identical load-13 ing conditions. The selection of either crack state is determined by the form 14 of the initial 'seed' crack; perfect seed cracks generate simple cracks while a 15 small local mode III component generates crack fronts having multiple steps. 16 Step coarsening eventually leads to single steps that propagate along crack 17 fronts. As they evolve, steps locally change the instantaneous structure and 18 motion of the crack front, breaking transverse translational invariance. In 19 contrast to simple cracks, faceted cracks can, therefore, no longer be consid-20 ered as existing in a quasi-2D system. For both simple and faceted cracks 21 we simultaneously measure the energy flux and local dissipation along these 22 crack fronts over velocities, v, spanning  $0 < v < 0.2c_R$  ( $c_R$  is the Rayleigh 23 wave speed). We find that, in the presence of secondary structure within the 24 crack front, the implementation of energy balance must be generalized for 25 3D systems; faceted cracks reveal energy balance, only when we account for 26 the local dynamic dissipation at each point along the crack front. 27

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<sup>29</sup> toughness, Faceted crack

# 30 1. Introduction

The existence of cracks causes a significant decrease in the practical 31 strengths of materials compared to theoretical values. Once propagating, 32 cracks are the vehicle that drives material failure. Crack initiation and 33 propagation are of crucial importance in questions ranging from the sta-34 bility of materials (Freund, 1998; Sun et al., 2012; Bouchbinder et al., 2014; 35 Ducrot et al., 2014; Yang et al., 2019) to earthquake nucleation and dynam-36 ics (Rosakis, 2002; Svetlizky and Fineberg, 2014; Gvirtzman and Fineberg, 37 2021). It is therefore surprising that most of our detailed theoretical knowl-38 edge of fracture is generally limited to ideal systems. In this paper, we take a 39 closer look at a central tenet of fracture: energy balance of non-ideal systems. 40 In particular, we will examine the validity of energy balance in the presence 41 of cracks having non-trivial internal structure. 42

Important progress on the dynamics of 'simple' cracks has been made in 43 two-dimensional and quasi-two-dimensional systems (Freund, 1998; Bouch-44 binder et al., 2014; Long et al., 2021). We refer to 'simple cracks' as those 45 with no secondary structure. Simple cracks are, conceptually, simple branch 46 cuts having a  $r^{-1/2}$  singularity, where r is the distance from the crack tip. 47 Upon propagation, they form a clean 'mirror' surface in their wake. Linear 48 elastic fracture mechanics (LEFM) provides the basis of our understanding 40 of simple cracks (Freund, 1998). LEFM assumes linear elastic material re-50 sponse, except in the process zone, a small region surrounding a crack's tip 51 where all dissipative and nonlinear processes take place. Outside the process 52 zone, LEFM predicts a singular stress field which is characterized by a  $K/\sqrt{r}$ 53 singularity, where K is the stress intensity factor that quantifies the ampli-54 tude of the stress field. K, depending on the applied loading and geometrical 55 configuration of the crack system, determines how the crack tip will behave 56 in the given system. 57

### <sup>58</sup> 1.1. Simple and not so simple cracks

Simple cracks, however, do not necessarily possess a 'simple' structure. Over the past decade or so, studies have found that the classic square-root singularity at the tip of a crack may break down as the large strains near a crack's tip force the surrounding material to become nonlinearly elastic. We
will still refer to cracks, however, as simple cracks so long as no instabilities
develop and the crack front forms, in its wake, a trivial mirror-like surface.

At sufficiently high speeds, simple cracks do become unstable. The non-65 linear elastic region will drive oscillatory cracks that generate wavy crack 66 paths (Bouchbinder, 2009; Chen et al., 2017; Vasudevan et al., 2021). In 67 brittle materials, rapid cracks may also lose stability in other ways. Beyond 68 a critical velocity of  $\sim 0.3-0.4c_R$  (where  $c_R$  is the material's Rayleigh wave 69 speed), mode I cracks can lose stability to micro-branches (Ravi-Chandar 70 and Knauss, 1984a,b; Sharon and Fineberg, 1996, 1999; Katzav et al., 2007), 71 where the simple main crack spontaneously sprouts daughter cracks; micro-72 scopic cracks that extend away from the main crack until arresting. 73

Recent work has shown that even very slow, nearly quasistatic simple 74 cracks may also become unstable. A small mode III component is suffi-75 cient to cause simple cracks to break up into discrete segments separated 76 by sharp propagating steps. As these cracks propagate across a crack front, 77 they leave in their wake segmented, faceted fracture surfaces (Tanaka et al., 78 1998; Lazarus et al., 2008; Baumberger et al., 2008; Pham and Ravi-Chandar, 79 2014). Phase-field modeling has shown that a planar crack can indeed be-80 come faceted (Pons and Karma, 2010), when  $K_{III}/K_I$  crosses a material-81 dependent threshold (Leblond et al., 2011). Initially planar (simple) cracks 82 then evolve into segmented arrays that evolve from a nonlinear helical insta-83 bility. Once crack segmenting takes place through this mechanism, experi-84 ments have shown that steps will merge and the segmented fracture surfaces 85 will coarsen in a self-similar way (Ronsin et al., 2014; Chen et al., 2015). 86 Recent experiments in polyacrylamide hydrogels (Kolvin et al., 2018) both 87 revealed how step topology leads to their stability and that local symmetry 88 breaking causes the steps to propagate along the crack front. These stud-89 ies also revealed that steps have a complex local 3D structure. Obviously, 90 when a simple crack develops such secondary structures, it can no longer be 91 considered as a 1D object having a point-like singularity at its tip, but a 3D 92 object bounded by 1D crack fronts. This internal 3D structure (Kolvin et al., 93 2017) significantly alters the local in-plane dynamics of the crack front. 94

In many materials, *simple* crack propagation at very low speeds seems to be unreachable. In crystalline materials (Thomson et al., 1971; Marder and Liu, 1993) the lattice trapping effect prevents a simple crack from propagating at very low speeds and jumps to cracks propagating at finite speeds are expected to result. Velocity jumps that preclude slow crack speeds have

been observed in experiments in *amorphous* materials, where lattice trapping 100 should not play a role. Examples include rubber-like materials (Morishita 101 et al., 2016; Kubo et al., 2021) (possibly due to a dynamic rubbery-glassy 102 transition at slow speeds), PMMA (Fineberg et al., 1992), and soft brittle hy-103 drogels (Livne et al., 2005). In hydrogels such as polyacrylamide elastomers, 104 stable simple crack propagation has never been observed at low crack speeds 105 (Kolvin et al., 2018; Cao et al., 2018) and simple cracks are generally ob-106 served to jump to  $v \sim 0.2c_R$ . In polyacrylamide gels, steps may form when 107 crack fronts are locally perturbed, but it has never been clear if a fundamen-108 tal reason exists for why slow simple cracks have never been observed at low 109 crack velocities. 110

# 111 1.2. Energy Balance in 2D and 3D systems

A central tenet of fracture mechanics is that the motion of a crack is 112 governed by energy balance. Griffith (1921) suggested energy balance as a 113 criterion for a crack's extension, where the energy flux into the crack tip, G114 is balanced by the fracture energy  $\Gamma$ , the energy dissipated per unit crack ex-115 tension. G, the energy release rate, is a quadratic function of K (Irwin, 1957; 116 Freund, 1998), and  $\Gamma$  is considered to be a characteristic material property. 117 For brittle fracture in effectively 2D materials, the principle of small-scale 118 yielding allows us to concentrate on the singular region surrounding the tip 119 of a crack, so long as all dissipation is contained within a small scale en-120 compassed within the singular region. When rate-dependent dissipation is 121 involved in crack propagation,  $\Gamma$  will be dependent on the crack speed, v. In 122 this sense, energy balance is generalized to all crack speeds,  $\Gamma(v) = G(v)$ . If 123  $\Gamma(v)$  is known and G(v) can be calculated as a function of v, one can predict 124 the motion of the crack tip. Once the crack motion ensues, the dynamics of 125 simple cracks are entirely described by energy balance; Goldman et al. (2010) 126 showed that LEFM provides an excellent quantitative description of the mo-127 tion of a crack tip under conditions of either a semi-infinite crack propagating 128 in an infinite medium or an infinitely long strip. Moreover, the rupture of a 129 frictional interface (or earthquake dynamics) is described in both form and 130 motion (Svetlizky and Fineberg, 2014; Svetlizky et al., 2017) by the classical 131 singular solutions for mode II cracks. 132

There are several ways to calculate the G(v). For simple cracks in linear elastic materials, the measurement of crack tip opening displacement (CTOD) can be easily used to calculate K which, via LEFM, yields the value of G. In the close vicinity of the crack tip, this calculation should

be supplemented by corrections that account for nonlinear elasticity (Livne 137 et al., 2010; Bouchbinder et al., 2014). Sufficiently far from the crack tip, 138 the well-known J-integral (Rice, 1968; Freund, 1998) will also quantitatively 139 provide G by computing the instantaneous rate of energy flow towards the 140 crack tip (in 2D media) through a contour C surrounding the crack tip. J is 141 path-independent in the case of quasi-static and steady-state crack propaga-142 tion. The J-integral can be extended to the case of crack propagation in 3D 143 materials, where the integral becomes domain-independent with C chang-144 ing to a cylindrical volume around a certain part of a crack front (Eriksson, 145 2002). In an infinite strip geometry, the translational invariance of the crack 146 in the (steady-state) propagation direction can be utilized to provide a mea-147 sure of G that is independent of the form of the fields and/or dissipative 148 processes (Goldman et al., 2010). G can also be calculated by considering 149 the crack as a singular defect and G as a configurational force acting on the 150 crack (Eshelby, 1951; Adda-Bedia et al., 1999b). Using this, Adda-Bedia 151 et al. (1999a) suggest a generalized energy (force) balance; balancing G and 152 dissipative forces during crack motion. 153

In the case of a crack front involving local 3D secondary structure, the lo-154 cal application of the Griffith criterion on the crack front,  $G(v, z) = \Gamma(v(z))$ , 155 where z represents a spatial point on the crack front, has been widely used 156 in theoretical work to predict the local front motion and stability. For exam-157 ple, Ramanathan and Fisher (1997) and Morrissey and Rice (1998) studied 158 the interactions of dynamic crack fronts with localized perturbations to the 159 fracture energy using local energy balance. This work predicted a propagat-160 ing mode within crack fronts, coined 'crack front waves', which were later 161 observed experimentally (Sharon et al., 2001). Leblond et al. (2019) and Va-162 sudevan et al. (2020) combined the Griffith criterion and the principle of local 163 symmetry (Gol'dstein and Salganik, 1974), through a heuristic hypothesis of 164 dependence of the fracture energy on the mode mixity ratio, to study the 165 generation of faceted cracks under mode I + III loading. This work predicts 166 both a low (but finite) threshold for the formation of steps and step drift in 167 the presence of a mode II component. 168

 $\Gamma(v)$  is considered to be a material-dependent parameter, however it has rarely, if at all, been *directly* determined (or measured). Instead, energy balance for simple cracks is *used* to determine  $\Gamma(v)$ , since G(v) can be either calculated or directly measured. In effectively 2D materials, experiments have shown that different methods used to measure G(v) yielded the same result (Goldman et al., 2010; Sharon and Fineberg, 1999; Scheibert et al.,

2010), so the  $\Gamma(v)$  that is determined in this way indeed appears to be ro-175 bust. Can one, however, use (or 'trust') analogous measurements of  $\Gamma$  for 176 cases where cracks are not simple? Whereas measurements of G(v) can be 177 performed that are not affected by the nature of a crack front, can a char-178 acteristic and solely material-dependent value of  $\Gamma$  of a 3D crack (which is 179 *independent* of the state of the crack front) be determined via energy bal-180 ance? A related question is whether energy balance is a local condition (i.e. 181  $G(v,z) = \Gamma(v(z))$ . To our knowledge, quantitative measurements of the local 182 fracture energy showing how the local secondary structure of the crack front 183 contributes to  $\Gamma$  have not yet been performed. 184

In this work, we will address a number of the issues stated above. We 185 will study crack propagation, in polyacrylamide hydrogels, at very low crack 186 speeds, where dynamic effects are negligible. By carefully controlling the 187 crack initiation conditions, we will first show that simple cracks in these gels 188 are universally stable at speeds varying from about 0 to  $0.2c_R$ . If stringent 189 control is not exercised and slight mixed-mode I+III perturbations are ap-190 plied, slow cracks will become segmented and form propagating steps along 191 the fracture front over the same speed range. The co-existence of the single 192 crack and the faceted crack states, therefore, reveals bistability of a crack's 193 state. We then utilize the simple cracks generated to measure, for the first 194 time in these materials,  $\Gamma(v)$  at these very low crack speeds, using either the 195 CTOD or the *J*-integral measurements. 196

When a crack front develops steps, we will demonstrate that the 3D 197 structure of the crack front significantly increases dissipation and results in 198 an increase of the 'apparent' fracture energy that we would assume, were the 199 system entirely 2D. Not only do crack fronts form cusp-like shapes at step 200 locations (Kolvin et al., 2018), but the dynamic behavior of the entire crack 201 front is affected; under constant G conditions both the mean crack front 202 speeds and lengths continuously change with the step evolution. We find 203 that G is indeed balanced by the total fracture energy, but this only becomes 204 clear when we correctly account for all of the variations in geometry and 205 dynamics of the crack front that are induced by the steps. 206

### 207 2. Materials and Experiments

# 208 2.1. Properties of the polyacrylamide gels

Our fracture experiments were performed using polyacrylamide gels, which obey the neo-Hookean elastic constitutive law. The materials are homoge-

neous, transparent, and incompressible. Crack dynamics in these materials 211 are representative of the broad class of materials that undergo brittle failure 212 (Livne et al., 2010; Goldman et al., 2010; Bouchbinder et al., 2014). The 213 near-tip fields of propagating cracks are singular and the features character-214 izing their dynamics (e.g. microbranches, front waves, equations of motion) 215 are identical to those of other brittle materials (Livne et al., 2005). Hence, 216 polyacrylamide gels have been used to verify LFEM predictions (Livne et al., 217 2010; Goldman et al., 2010) and to investigate the effects of nonlinear elas-218 ticity (Bouchbinder et al., 2014). A significant advantage of using these 210 materials to study fracture is that they provide a means to perform direct 220 and precise measurements of the near-tip structure of the fields driving rapid 221 cracks, by slowing crack propagation speeds by nearly three orders of mag-222 nitude ( $c_R$  in these gels is, for example, 500 times below that of soda-lime 223 glass). 224

The gels used in this work have a composition of 13.8% (w/v) acrylamide/bis-225 acrylamide with a 2.6% (w/v) cross-linker concentration, providing a Young's 226 modulus  $E = 105.6 \pm 4.2$  kPa and shear wave speed  $c_s = 5.9 \pm 0.15$  m/s. 227 The Poisson ratio of 0.5 yields a plane stress Rayleigh wave speed,  $c_R$ , of 5.5 228  $\pm 0.15$  m/s. This is the same gel composition used in much previous work on 229 brittle fracture (Livne et al., 2005, 2010; Goldman et al., 2010). Our samples 230 are of long-strip geometries with typical dimensions  $L_0 \times b \times w$  of  $40 \times 20 \times b \times w$ 231 1 mm along the crack propagation x, tensile loading y, and sample thickness 232 directions z, respectively (see Fig. 1). A pre-crack of  $5 \pm 1$  mm along the x 233 direction is introduced at one of the edges of each sample, midway between 234 its vertical (y) boundaries. 235

#### 236 2.2. Preparing the initial 'pre-crack'

The form of the imposed pre-cracks significantly affects a crack's initial 237 propagation mode. To generate pure mode I propagation, special efforts 238 were required to create nearly pure mode I pre-cracks, whose entire fracture 239 plane is, as closely as possible, within a single xz plane aligned normal to the 240 loading (y) direction. These 'clean' pre-cracks were formed by forcing initial 241 cracks to arrest while imposing external guiding of the initial crack direction. 242 To create clean pre-cracks, we first adhered a thin layer of PDMS to one of 243 the gel sample faces to act as a 'guide'. This guide had the same thickness as 244 the gel sample that was adhered to it and contained a cut with the desired 245 extension length of the pre-crack. A shorter pre-crack along the x direction 246 was created within the gel, by the application of a scalpel having its xz plane 247

located at the y location of the cut in the guide. The xz plane formed by the 248 scalpel needed to be both oriented correctly and as mirror-like as possible so 249 that a pure mode I crack could be generated after a short extension. The 250 composite gels/PDMS sheet was then non-uniformly stretched under tension, 251 with the maximal stretch at the position of the cut. Since the shorter pre-252 crack within the gel was free of adhesive constraints and the PDMS layer is 253 much tougher than the gels, only the extension of the pre-crack within the 254 gel sample was triggered. This pre-crack then extended until encountering 255 the notch tip determined by the PDMS guide. Beyond this point, the PDMS 256 layer constrained the opening displacement of the crack and, consequently, 257 arrested the crack. The PDMS guide both determined the length of the pre-258 crack, and, importantly, forced it to be constrained within the desired initial 259 plane. Once the initial crack was formed, the applied tension was reduced to 260 zero and the PDMS layer was removed. 261

To generate faceted cracks, the PDMS guide was simply not used. This 262 caused pre-cracks to be slightly tilted in planes *not* normal to the y direction. 263 Any tilt produced a small local mode III component at the tip of the initial 264 crack (Ronsin et al., 2014) that was sufficient to excite facets. We also found 265 that mode II components that were externally imposed onto a clean initial 266 crack face would *not* excite facets. 267

Once the pre-crack was formed, fracture was initiated in mode I by a 268 slow and uniform displacement of the vertical boundaries until reaching the 260 fracture threshold, whose value was dictated by the length of the pre-crack. 270 In this way, experiments were controllably performed for a range of imposed 271 strains. In the long strip configuration, cracks accelerate at the early stage 272 and reach nearly steady-state propagation after communicating with the sam-273 ple boundaries (Goldman et al., 2010). 274

#### 2.3. Fracture experiments surrounded by air 275

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Crack motion together with the surrounding displacement fields were 276 measured using a fast camera (IDT-Y7) having a spatial resolution of 1920 277  $\times$  1080 pixels and a frame rate of 8000 Hz. The camera was mounted above 278 the sample and normal to its plane and imaged an area  $10.7 \times 6 \text{ mm}^2$  that 270 initiated a few mm's beyond the pre-crack. The imaged area was illuminated 280 via a collimated light beam directed normal to the sample plane from below. 281 Around the crack tip, large deformation gradients along the z direction 282 appear within the singular region. These gradients are due to strong mate-283 rial contraction in z, caused by gel incompressibility, that must balance the

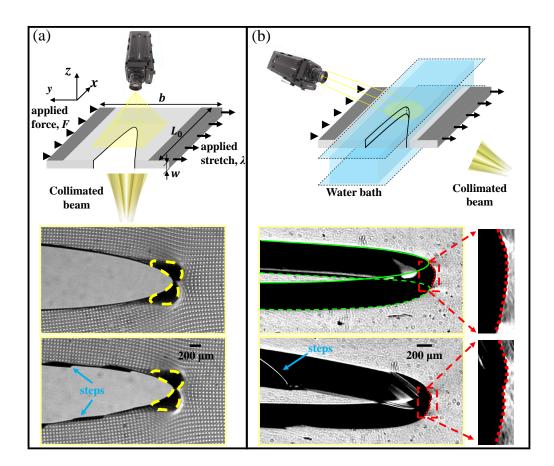


Figure 1: Experimental setup. Experiments were performed with transparent polyacrylamide gel sheets under quasi-static tensile loading. The gel sheets were surrounded by either air or water layers. (a), In the air, gels were illuminated via a collimated beam of incoherent light that was transmitted normal to sheet surface (from the bottom face) to produce a shadowgraphy image of both the crack opening and a grid that was imprinted on one surface of the gel (xy plane). Dynamic cracks were initiated from a pre-crack located at the center of one of the sample edges. The shadowgraph images formed by the fracture process were captured with a high-speed camera mounted above the sample. Lower panels: Example of a pure mode I simple crack (top) and a faceted crack (bottom) imaged from above. Two of the steps formed on the fracture surface of the bottom image are noted. The caustics in the vicinity of the crack tip (highlighted by the yellow dashed curves) are due to a lensing effect; the high stresses near the crack tip cause the gel to contract in the zdirection. (b), Some experiments were performed in a water bath to eliminate this lensing effect and the resultant caustic. The lighting and camera were mounted at  $45^{\circ}$  to the yz plane. This enabled the entire crack front to be visualized by shadowgraphy, together with the CTOD. Bottom panels: a simple mode I crack front is smooth (top), while the front locally forms a cusp-like shape (bottom) surrounding any steps formed. In the upper panel, the crack tip opening displacements of the upper and lower surfaces of the simple crack are highlighted by the green full and dashed lines, respectively. Both crack fronts are denoted by red dotted lines. The black sections behind the crack front correspond to the planar upper and lower fracture surfaces where the transmitted light was refracted away from the camera. Steps on the fracture surface (blue arrow) are observable due to light that is scattered into the camera by step edges.

large extensions in the xy plane. This strong contraction gives rise to lensing 285 effects; light, which is strongly refracted in the near-tip singular region, does 286 not reach the camera. This creates the caustics within the image, the black 287 regions surrounding the tip that are highlighted by the yellow dashed curves 288 in the bottom panels of Fig. 1a. In the past, these caustics have provided 289 an optical tool that was utilized to study the singularities of the stress field 290 around the crack tip (Manogg, 1964; Theocaris, 1970). Here, we used the 291 centroid of these caustics to both determine the crack tip location and cal-292 culate the crack speed. This method is consistent with the use of the tip of 293 the parabolic crack opening for the single crack and provides improved preci-294 sion for crack speed measurements of faceted cracks, as the caustic centroid 295 provides the instantaneous mean position of the crack front in z. In the fol-296 lowing sections, as we will be describing crack fronts, to avoid confusion we 297 define v as the mean crack front speed in z and v(z) as the normal velocity 298 to the crack front at each spatial location, z. 299

We measure the displacement field around the crack by imprinting on 300 one surface of the gel sample (see Boué et al. (2015)), a shallow square grid 301 (depth 2  $\mu$ m) having a lattice spacing of 60 $\mu$ m. This was accomplished as 302 follows. We cast the gels in a mold formed by two glass plates separated 303 by a (typically 1mm) spacer. On the xy surface of one of these plates, we 304 embossed a rectangular grid formed by lithographical printing of a spin-305 coated epoxy layer. Upon casting, this grid mesh was imprinted on one gel 306 surface. When a crack propagated across the measurement area, each frame 307 of the camera captured the instantaneous image (through shadowgraphy) of 308 the distorted grid. The location of each grid point in the deformed field was 309 determined by its center with a resolution of  $\sim 1 \ \mu m$ . The deformation field 310 surrounding the crack was obtained by comparing the position of the grid 311 points in the deformed frame to their position in the reference (deformation-312 free) frame. Examples of propagating simple and faceted cracks with their 313 respective deformed grid patterns are presented in Fig. 1a. 314

# 315 2.4. Fracture experiments surrounded by water

To follow the crack front dynamics along the thickness (z) direction, while, in parallel, measuring the mean location of the crack front in the xy plane, we developed a slightly different optical technique. To this end, we needed to both remove the caustics as well as enable optical access to the crack front during propagation. Gel samples without grids were used. The transparency of the gels provided the possibility to observe the whole crack front, when

oblique imaging is used. When the samples are bounded by air, however, 322 the crack front is hidden by the caustics formed in the vicinity of the crack 323 front. Since the gels consist of cross-linked polymers immersed in water, their 324 measured refractive index (1.365) is nearly perfectly matched to that of the 325 water (1.333). Hence, as illustrated in Fig. 1b, we were able to eliminate 326 the appearance of caustics during fracture experiments by surrounding the 327 sample with a water bath. We note that variations of the fracture energy 328 due to the surface tension (72.8mN/m) of the surrounding water are < 1%329 and therefore negligible. 330

The crack front motion was measured by the fast camera using highly magnified images (a field of  $6.1 \times 3.5 \text{ mm}^2$  was mapped to the camera's 1920 × 1080 pixel resolution) with a frame rate of 7000 Hz. As presented in Fig. 1b, the dynamics of the whole crack front could be captured by mounting both the camera and collimated beam at an angle of 45° relative to the xyplane.

Fig. 1b presents snapshots of both a single crack and a faceted crack 337 developing steps. The CTOD of the top and bottom surfaces of the single 338 crack are highlighted by the green full and dashed lines, respectively. As 339 the light passing through the crack opening surface is refracted away from 340 the camera, shadowgraphy could be used to image the crack front. Owing 341 to the small mismatch of the refractive index between the gels and water, 342 slight caustics can be observed at the two extremes of the crack front. These 343 permit us to easily determine the crack front boundaries (see edges of dotted 344 lines in the bottom panels of 1b). Both the local crack front velocity and 345 the mean crack front speed could be determined by using the instantaneous 346 crack front shapes and positions. 347

When a crack forms steps, their characteristic cusp-like shapes within the 348 crack front can be observed, as reported by Kolvin et al. (2018). In addition, 349 in each frame, we are able to observe the step edge left behind the front, as 350 highlighted in Fig. 1b (bottom inset). This is possible because some of the 351 transmitted light is scattered by the step edge into the camera. To charac-352 terize the topography of the steps, immediately following experiments where 353 a faceted crack was formed, we created a cast of the fracture surface using 354 polyvinyl siloxane. These casts are able to reproduce the surface topography 355 at microscopic levels. We then measured the fracture surface casts using 356 an optical profilometer with an in-plane resolution of 2  $\mu$ m and out-of-plane 357 resolution of  $\sim 0.1 \ \mu m$ . 358

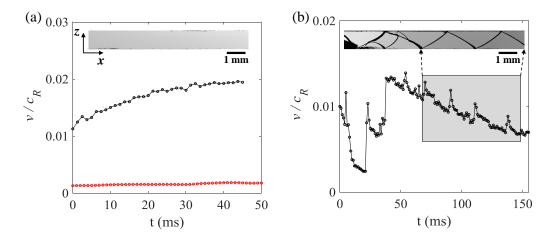


Figure 2: **Bistability of slow cracks.** Typical crack speeds as a function of time for simple (a) and faceted (b) cracks. (a), A simple crack, triggered from a clean pre-crack located along the xz plane under pure mode I loading conditions, generates a mirror-like fracture surface (inset). Presented are the dynamics of two typical simple cracks that were driven by imposed stretches of 1.07 (black line) and 1.063 (red line). The former (black line) slowly accelerated before reaching steady-state propagation of  $v=10.9 \text{ mm/s} = 0.02c_R$ . In the latter experiment (red line) the crack propagated at a speed of  $v \sim 1.0 \text{ mm/s} = 0.002c_R$ . (b), A faceted crack was triggered via a pre-crack that was slightly tilted away from the xz plane, and propagated under applied tensile loading condition with an imposed stretch of 1.068. The tilted pre-crack generated a mixed-mode (I+III) initial condition near its tip. This experiment formed a faceted fracture surface (inset). In contrast to the smooth dynamics of the simple cracks in (a), the mean (in z) crack front dynamics were erratic, reflecting the complex dynamics of the initial steps and, later, of a single step (shaded region) that propagated within the crack front.

#### 359 3. Results

#### 360 3.1. Bistability of simple and faceted cracks

In these materials, 'simple' mirror-like cracks in mode I have never, to our 361 knowledge, been observed for low velocities. As explained in Section 2.2, we 362 were able to achieve simple crack states for low velocities from  $0-0.2c_B$  by very 363 carefully setting the initial conditions of the pre-crack prior to application of 364 stresses. Fig. 2a presents an example of the crack speed, v, as a function of 365 time for two typical simple cracks, that propagated at steady-state velocities 366 of  $v = 0.002c_R$  and  $0.02c_R$ . Stable simple crack propagation generates a 367 mirror-like fracture surface, as shown in the inset of Fig. 2a. We find that, 368 once simple cracks are excited, they remain 'simple' for any velocity up until 369

the formation of micro-branches. This implies that pure mode I cracks in gels can exist at any slow crack speed.

Faceted crack propagation at a very low speed was achieved by initiating 372 fracture with slightly tilted pre-cracks, which generated mixed-mode I + III 373 initial conditions. Fig. 2b presents an example of crack dynamics during the 374 propagation of a faceted crack. The crack speed is highly fluctuating and 375 the fluctuations are correlated with the presence of crack segmentation. The 376 segmentation of the fracture surface is the result of step formation within the 377 crack front. The crack develops out-of-plane steps, which propagate along 378 the crack front at an angle of about  $43^{\circ}$  relative to the local front normal, 379 as reported by Kolvin et al. (2018). The traces of traveling steps form step-380 lines on the fracture surface. In general, a crack will develop multiple steps 381 immediately after initiation, when subjected to mixed mode I+III perturba-382 tions. As noted previously (Ronsin et al., 2014; Pham and Ravi-Chandar, 383 2017, 2016), initial steps have complex behavior (as seen in, e.g., Fig. 2b). 384 Steps may separate, coarsen and/or disappear upon interaction. When steps 385 encounter a free surface, they are often reflected; steps approaching a free 386 surface will change direction and propagate to the other free boundary. Such 387 repeated step reflection creates a periodic step-line on the fracture surface 388 (Fig. 2b). In parallel, the mean crack speed along the sample width (z)389 oscillates in phase with step reflections (see the shaded region of Fig. 2b). 390

Fig. 2 also demonstrates crack *bistability* at low speeds. Both simple 391 and faceted cracks propagate within the same range of velocities and applied 392 loads. When initiated by mixed mode initial states, faceted crack states 393 may appear from speeds of nearly zero. Faceted crack states will generally 394 disappear when crack speeds increase to sufficiently high values. Without 395 taking special care in forming pre-cracks, mirror-like cracks will often appear 396 when a crack jumps to  $0.1 - 0.2c_R$  upon initiation. Empirically, cracks in 397 polyacrylamide gels appear to be immune to the precise nature of the initial 398 pre-crack when they jump to this velocity range (Livne et al., 2005; Goldman 399 et al., 2010). Faceted cracks could also transition directly to micro-branches 400 (Kolvin et al., 2017) in this velocity range. At much higher velocities (0.2 -401  $(0.95c_R)$  (Livne et al., 2005) bistability between simple cracks and micro-402 branches may also take place, but faceted cracks are not observed. 403

# 404 3.2. Simple cracks: energy flux and fracture toughness

Let us first focus on the simple crack state. Crack propagation is understood to be governed by energy balance. The crack speed, v, is governed by balancing the energy release rate, G, into the crack front with the fracture energy  $\Gamma(v)$ , which characterizes the velocity-dependent energy dissipation of the crack. The energy consumption within the dissipative zone (per sample thickness) can be evaluated in a number of ways. Owing to the nearly steady-state crack propagation at the very low speeds, we compute the energy flux into any closed contour, C, surrounding the crack tip using the J-integral (Rice et al., 1968):

$$J = \int_C \left[ U(\mathbf{F}) n_x - \sigma_{ij} n_j \frac{\partial u_i}{\partial x} \right] dC , \qquad (1)$$

where  $U(\mathbf{F})$  is the strain energy density,  $n_i$  stands for the components of 414 outer normal vector of C,  $u_i$  and  $\sigma_{ij}$  are the 2D displacement and stress field 415 components. In our experiments, the gels were deformed under, effectively, 416 plane stress conditions. This yields a neo-Hookean strain energy density, 417  $U(\mathbf{F}) = \frac{\mu}{2} [tr(\mathbf{F}^T \mathbf{F}) + (det \mathbf{F})^{-2} - 3],$  where **F** is the 2D deformation gradient 418 tensor,  $\tilde{F}_{ij} = \delta_{ij} + \partial u_i / \partial x_j$ . The calculation of J is path-independent so 419 long as the contour encircles the entire dissipative region. This condition 420 also ensures  $G \equiv J$ , that is the energy release rate G, a local quantity of the 421 crack front, is given by the *J*-integral, a far field quantity. 422

Using the displacement field measured with the grid mesh, G could be 423 computed by means of Eq. (1). An example of the calculation of G (through 424 different contours surrounding the crack tip) for a simple crack propagating 425 at  $v = 0.002c_R$  is presented in Fig. 3a. G is, indeed, seen to be path-426 independent, even though the smallest enclosed area is below a few hundred 427  $\mu m^2$ . This is consistent with Livne et al. (2010), who showed the dissipative 428 scale to be within  $\sim 20 \ \mu m$ . It's worth noting that, as the J-integral is 420 measured along the free surface, it represents the energy flux per unit sample 430 thickness. The path-independence of J reveals that there are no noticeable 431 3D effects and no plastic or extra dissipative effects at the smallest measured 432 scale. 433

We can use the crack tip opening displacement (CTOD) to validate our measurements of G. LEFM predicts that the opening displacement of a mode I crack tip can be described by a parabolic shape, for scales beyond the nonlinear elastic region adjacent to the crack tip (Livne et al., 2010). An example is presented in the Fig. 3a, where the excellent parabolic fit of the CTOD implies that, at these very low velocities, the size of the nonlinear region is below ~30  $\mu$ m. LEFM relates the curvature a of the mode I crack

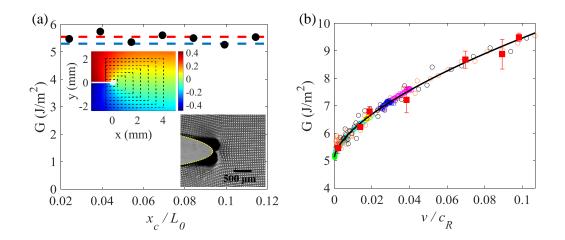


Figure 3: Measurements of the energy flux of simple crack states. (a), Calculation of the energy flux G for a simple crack propagating at a speed of 1.0 mm/s =  $0.002c_R$ . This sample was loaded under mode I conditions, with an imposed stretch of 1.063. G, is computed using both the J-integral over different contours (black dashed lines in upper inset) and the CTOD (yellow dashed line in lower inset). Here, the x axis is the spatial extension of each contour C in the upper inset along the crack propagation direction normalized by  $L_0$  (the color map corresponds to  $u_{yy}$ ), while the black dots represent the value of G calculated via the J-integral for each contour. The red dashed line is the value of G calculated using the CTOD presented in the lower inset. Both measurements are within 4% of the value of 5.28 J/m<sup>2</sup> that corresponds to the total work measured directly from the force-displacement loading curve (blue dashed line). (b) G as a function of the crack speed, v, for multiple experiments. G calculated using CTOD (circles) and J-integral (squares) are in perfect agreement. Colors correspond to different experiments. The solid line is a guide to the eye and corresponds to a spline fitting of the data.

<sup>441</sup> tip to the stress intensity factor  $K_I$  through

$$a = \frac{32\pi\mu^2(1+T/3\mu)}{[K_I\Omega_y(\theta=\pi;v)]^2},$$
(2)

where the moving coordinates  $(r, \theta)$  are centered at the crack tip  $(\theta = 0$  is 442 the crack propagation direction), and  $\Omega_{y}(\theta; v)$  is a universal function of  $\theta$ 443 and v (Freund, 1998). T in Eq. (2) is the 'T stress', which was calculated 444 for the strip configuration by Katzav et al. (2007). In Eq. (2) we ignored the 445 background strain dependence of the CTOD, which gives a correction within 446 5% for the low crack speeds in these experiments (Boué et al., 2015). Using 447 the measured a, Eq. (2) provides  $K_I$ . For plane stress conditions, G is then 448 given by: 449

$$G(v) = \frac{1}{E} A(v) K_I^2(v) , \qquad (3)$$

where A(v) is a known universal function of v satisfying A(0) = 1 (Fre-450 und, 1998). Since the CTOD is obtained from the average projection of 451 the crack opening through the sample thickness, the measured G also corre-452 sponds to the effective 2D energy flux. The value of G derived from Eq. 3453 (red dashed line in Fig. 3a) indeed agrees well with the independent mea-454 surements using the J-integral. The value of G is further validated using the 455 force-displacement loading curve (see blue dashed line in Fig. 3a) through, 456  $G = \int_{1}^{\lambda_{max}} \sigma(\lambda) d\lambda \cdot b$ , where  $\sigma$  is the nominal applied stress given by F/wL. 457 Measurements of G, using both the J-integral and CTOD, for different cracks 458 with the crack speed varying from about 0 to  $0.1c_R$  are shown in Fig. 3b. 459

Fig. 4a presents measurements of a typical simple crack front propagating 460 at a steady-state velocity of  $v = 0.018c_R$ , as determined by the mean front 461 position in z. The corresponding sequence of instantaneous crack fronts 462 shows that simple crack fronts possess invariant shapes whose lengths l are 463 constant. We note that i), due to the incompressibility of the materials, 464 the sample widths in the deformed (lab) frame, w, contract (via Poisson 465 contraction) in the z dimension. ii), Crack fronts of simple cracks are not 466 straight, but curved. This curvature typically increases the total front length 467 by about 5.5%. Since the variation of the imposed stretches,  $\lambda$ , is small, crack 468 front lengths in our experiments are nearly constant at about  $0.945 \pm 0.03$ 469 mm (inset of Fig. 4b) over the range of measured v. Over this range, both l470 and simple crack front shapes are invariant. The curved crack front coupled 471 with the sample width contraction implies that the measurements of G(v)472

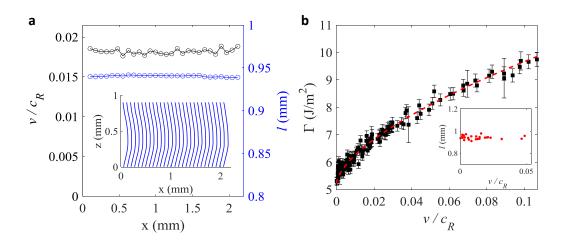


Figure 4: **Dependence of the fracture energy on the crack speed.** (a), Crack speed (black symbols) and crack front length (blue symbols) as a function of a crack's position along the x direction of a typical simple crack. Inset: Successive crack front shapes at different positions separated by time intervals of 0.714 ms. Note that, due to Poisson contraction, the measured sample thickness, w, is below the zero strain sample width of 1 mm. The crack front curvature typically increases the crack front length, l, relative to a nominally straight crack front, by about 5.5%. (b), Measured fracture energy  $\Gamma(v)$  as a function of the crack speed, v. The fracture energy  $\Gamma = G \cdot w/l$  differs from the bold line in Fig. 3b as it takes into account the crack front curvature. The uncertainty in  $\Gamma$  is due to the uncertainty in l. The red dashed line corresponds to a spline fitting of the data and is a guide to the eye. Inset: Crack front lengths l of simple cracks with v for imposed stretches between  $1.06 < \lambda < 1.09$ .

(as shown in Fig. 3b) do not provide  $\Gamma(v)$  unless the crack front length is properly accounted for.  $\Gamma(v)$  and G are related via the total energy balance of the integral crack:

$$\int_{w} G(v,z)dz = \int_{l} \Gamma(v(z))dz , \qquad (4)$$

where G(v, z) is the energy flux into the crack front per unit sample thickness measured using Eq. (1) with C far from the crack. Since, far from the crack, G(v) is independent of z and the invariance of the front shape implies that the local crack speed, v(z) equals the mean crack front speed v, Eq. (4) can be written as:

$$G(v)w = \Gamma(v) \int_{l} dz .$$
(5)

Using the measured values of l, we derive  $\Gamma(v)$  using our measurements of 481 G(v) (Fig. 3b) and the correction factor w/l as input. In contrast to the 482 fracture energy of glass (Sharon and Fineberg, 1999), where  $\Gamma(v)$  only weakly 483 varies with v, Fig. 4b shows that  $\Gamma(v)$  in gels is a strongly rate-dependent 484 function at low speeds. In the extreme low-speed range  $(0 < v < 0.1c_R), \Gamma(v)$ 485 is a significantly stronger function of v than for  $0.1 < v < c_R$  (Livne et al., 486 2010; Boué et al., 2015). Since no crack front structure is observed, we suspect 487 that the rapid increase of  $\Gamma(v)$  with v is related to some (as yet, unclear) 488 nonlinear dissipation mechanism involved in breaking the polymer chains 489 that bind the gels. It is interesting that a similarly rapid increase in  $\Gamma$  with 490 v has also been observed in other polymers for low fracture velocities, such 491 as PMMA (Scheibert et al., 2010) and multimaterial 3D-printed polymers 492 (Albertini et al., 2021). 493

#### <sup>494</sup> 3.3. Energy flux and the dynamics of faceted cracks

Let us now consider faceted cracks (Fig. 2b), which are initiated via tilted 495 pre-cracks (see Section. 2.2) that generate local mixed mode I+III conditions. 496 The propagating steps along the crack front that form the facets locally 497 increase the crack front length, thereby leading to increased local dissipation. 498 Kolvin et al. (2018) analyzed the in-plane dynamics of steps and showed 499 that the local fracture energy increase caused by steps induces geometric 500 curvature within an, otherwise, approximately straight crack front. Induced 501 front curvature resulting from a spatially implanted step in fracture energy 502 has also been observed in static cracks (Chopin et al., 2011). Both can 503

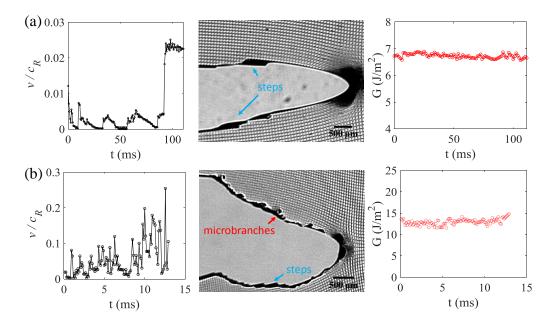


Figure 5: The energy flux G and dynamics of faceted cracks. Two examples of faceted crack dynamics, each with a constant value of G. Applied uniaxial stretches, (a)  $\lambda = 1.07$  and (b)  $\lambda = 1.1$ . (a) v(t) of a faceted crack that develops steps upon initiation and undergoes apparent stick-propagate motion before transitioning, at t = 92ms, to a simple crack and (b) a faceted crack that transitioned to a micro-branching state, at higher applied strains. Center panels: Snapshots of these cracks in the xy plane for (a) t = 105ms and (b) t = 12ms. Typical steps and micro-branches that were generated upon the death of the steps are highlighted. Right panels: G, measured via Eq. (1), is constant throughout the cracks' propagation in both examples.

<sup>504</sup> be described well by LEFM. We now show that step dynamics change not <sup>505</sup> only the local behavior of the crack front, but the dynamics of the entire <sup>506</sup> crack front. One such example was presented in Fig. 2b; even a single step <sup>507</sup> propagating through the crack front gives rise to apparently unstable front <sup>508</sup> propagation.

We first consider the energy flux into faceted crack fronts during non-509 steady front dynamics. Fig. 5 presents two measurements of faceted cracks 510 propagating under different stretch levels. In Fig. 5a a faceted crack (stretch 511 of  $\lambda = 1.07$ ) undergoes nearly 'stick-propagate' motion (although v, though 512 very small is always finite). Here, a single step, which is reflected by each free 513 surface (z = 0, w), moves within the crack front. At t = 92ms the step disap-514 peared and the front became a simple crack. Upon the step's disappearance, 515 the front's propagation velocity instantaneously jumped by a factor of over 5 516 and continued to propagate as a simple crack in steady state motion. As in 517 Fig. 3a, we use the J-integral to obtain G throughout this unsteady motion. 518 As for simple cracks, measured J-integrals are path-independent. We find 519 that throughout this entire complex scenario, G remained constant (Fig. 5a-520 right). We note that values of G calculated via the 2D contours are valid, so 521 long as the contours are sufficiently far from the crack front to enable any 522 fluctuations in z of the strain fields to be negligible. Moreover, the value of 523 G entirely determined which value of v the simple crack acquired after the 524 jump;  $v = 0.023c_R$  corresponded precisely to the G(v) given in Fig. 3b. 525

As the mean propagation velocity increases, the complexity of the mo-526 tion of faceted cracks generally increases; step reflection is more frequent (as 527 their motion in z scales with v) and spontaneous step nucleation or even 528 spontaneous transitions to micro-branching may take place. The resulting 529 fluctuations in v become more frequent and highly erratic. Fig. 5b presents 530 a particular case of a faceted crack that initiated from a rough pre-crack 531 under a relatively high stretch,  $\lambda = 1.1$ . Very complex motion ensued, which 532 included both step motion and step-generated micro-branches (Kolvin et al., 533 2017) that broke the up-down symmetry within fracture surfaces that occurs 534 when only steps propagate. With the generation, transitions, and death of 535 steps, the crack front's motion became so strongly irregular that the crack's 536 overall propagation direction changed. Despite these highly complex dynam-537 ics, Fig. 5b demonstrates that G remained constant at every instant. Hence, 538 the global dissipation of these highly erratic crack fronts is invariant, even 539 though large variations and re-distributions of *local* energy dissipation fre-540 quently took place. 541

Since steps have an inherently 3D structure, one may ask whether 2D 542 measurements, as described by Eq. (1), correctly evaluate the energy flux to 543 a highly complex 3D system. In light of the examples presented in Fig. 5, 544 it is puzzling why both the geometry and dynamics of a step-forming crack 545 front are so rapidly changing, despite the fact that the global value of G546 does not vary at all. How does the crack front adapt to maintain invariant 547 global energy dissipation? How does the local structure within the crack 548 front contribute to the energy dissipation? To address these questions, we 549 now examine the local behavior of a step-forming crack front using the 3D 550 measurement configuration. 551

#### <sup>552</sup> 3.4. Dissipative mechanisms of step-forming cracks

Analysis of faceted cracks containing a single step was achieved using the 553 experimental system described in Fig. 1b that enabled simultaneous mea-554 surement of the dynamics and structure of in-plane crack fronts. These were 555 coupled with topographic measurements of the fracture surface formed by 556 their propagation. Fig. 6a illustrates the topology of a step (Kolvin et al., 557 2018). The crack front is composed of two disconnected and overlapping 558 segments, a curved segment that partially overlaps a flat planar one. Both 559 segments propagate simultaneously, while the flat segment is always slightly 560 ahead of the curved one. Beyond the overlapping sections, the curved branch 561 connects to the flat one and terminates. The overlapped section is hidden 562 from view when viewing the fracture surface, but can be measured after sec-563 tioning the fracture surface along planes of constant x. A photograph of a 564 yz section of a typical step is presented in the lower panel of Fig. 6a, which 565 clearly illustrates the different planes that comprise a step's structure. Kolvin 566 et al. (2018) demonstrated that each step generates a local increase in the 567 total crack front extension of  $\sim 1.4 h_{step}$  that is formed by both the increase of 568 the instantaneous height,  $h_{step}$ , on the fracture surface of the curved branch 569 and the overlap width  $w_{step}$ , as shown in Fig. 6a. All of these contributions 570 lead to increased local energy dissipation that, consequently, gives rise to in-571 plane deformation of the crack front profile; a local cusp-like shape (Kolvin 572 et al., 2018) as presented in Fig. 6a for a typical step, as it appears in our 573 experimental system. 574

We measured the  $h_{step}$  by means of profilometer scans of the fracture surface. The variation of  $h_{step}$  along the fracture surface is shown in Fig. 6b. Upon reflection from one of the free faces of the sample (e.g. z = 0), the step initiates from a height of about a few micrometers, grows as an approximate

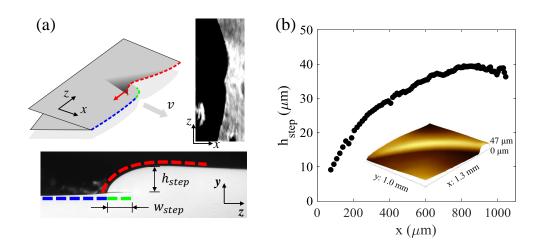


Figure 6: **Topography of steps.** (a), Formation of steps. Top: Steps are formed by a discontinuous, disconnected crack front that contains an upper branch (red line) that, after some overlap (green line), re-connects to the planar branch below (blue line) by sharply curving towards it. The step topologically retains its stability (Kolvin et al., 2018) as the planar branch always precedes the curved one. (right panel) As noted in Kolvin et al. (2018), this configuration forms a cusp-like front, when projected on the xzplane. A photograph of a typical cusp (by means of the configuration described in Fig. 1b) is presented. (bottom panel) A photograph in the yz plane of a typical step formed within a fracture surface. The 'hidden' overlapping section (green line), which lies beneath the curved branch (red line), is an extension of the planar branch (blue). Facets are formed as such steps progress along the z direction in the direction normal to the curved section. (b), The measured step height,  $h_{step}$ , as a function of its front propagation distance along x for a typical step. Once reflected, steps grow while propagating along the crack front.  $h_{step}$  stabilizes at a height of about 40  $\mu$ m (Kolvin et al., 2017). Inset: A 3D profilometer scan of a typical step.

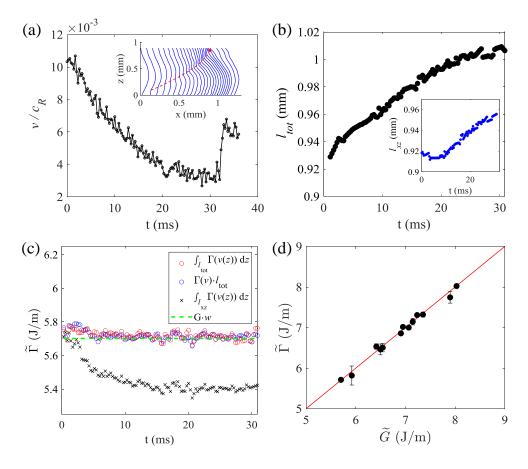


Figure 7: Energy balance of a faceted crack. (a), The total crack front speed decreases with the growth of a step. The dynamics of the step presented in Fig. 6b is analysed. Inset: The corresponding sequence of instantaneous crack front shapes (displayed at 1.43 ms intervals) that are formed by the growing step (0 < t < 36 ms). (b), The total crack front length  $l_{tot} \equiv l_{xz} + 1.4 h_{step}$ , where  $l_{xz}$  is the apparent front length, as projected onto the xz plane.  $l_{tot}$  continuously grows as steps increase their height. Inset: The corresponding variation of the in-plane crack front length,  $l_{xz}$ . (c), The total fracture energy,  $\widetilde{\Gamma}$ , determined by  $\int_{l_{tot}} \Gamma(v(z)) dz$  (red symbols), when taking into account the dynamics and all of the geometric variations of the crack front. Note that  $\Gamma(v(z))$  is the fracture energy of *simple* cracks as presented in Fig. 3b, where we are using *local* velocities v(z).  $\Gamma$  is invariant, and equal to the (constant) 3D energy flux G (green line) given by  $G \cdot w$ . The blue symbols correspond to the value of  $\widetilde{\Gamma}$  calculated by the *mean* crack front speed v. The black symbols represent the *apparent* fracture energy that would be obtained, were we to consider only the in-plane crack front length  $l_{xz}$ . (d), Energy balance between  $\widetilde{\Gamma}$  and  $\widetilde{G}$  for numerous different propagating cracks, each incorporating a single step. The red line represents  $\widetilde{\Gamma} = \widetilde{G}$ . G was calculated by means of Eq. (1), which remains valid for contours sufficiently far from the crack front.

power law (Kolvin et al., 2017), and stabilizes at  $h_{step} \sim 40 \ \mu m$ . The process 579 generally repeats itself when the step encounters the other free surface (e.g. z580 = w). When the sample surface is bounded by air, step reflection is commonly 581 observed (see Fig.2b). Upon reflection, steps are inverted in orientation, lose 582 height, again starting from a  $h_{step}$  of a few microns, and propagate in the 583 opposite direction. When the free surface is, however, bounded by water, the 584 step is often not reflected, and disappears upon arrival at the free surface. We 585 believe that this step 'death' is the result of near-perfect acoustic transmission 586 at the boundary between the gel and water. 587

Fig. 7a presents the detailed dynamics of a step-forming crack front as 588 the step progresses from z = 0 to z = w. The inset of Fig. 7a shows the 589 sequence of instantaneous in-plane (xz plane) crack front shapes separated 590 by a time interval of 1.43 ms. The step forms a locally concave front shape 591 and travels along the crack front. Its location is highlighted by the red dashed 592 arrow. The mean crack front speed v, determined by the average of the local 593 crack speeds in z, is found to continually decrease with the step's growth. 594 In this example, the free surface is bounded by a water bath, and the step 595 'dies' when it impinges on the free surface. Upon the death of the step, the 596 crack became a simple crack, with a typical curved front (see Fig. 4a) that 597 propagates with a nearly constant speed. The decrease in the crack front 598 speed is not the result of averaging in z; the step caused the entire crack 599 front to decelerate. This is revealed by the progressively decreased spacing 600 between adjacent front positions presented in inset of Fig. 7a. Moreover, 601 during the motion of the step, the whole curvature of the in-plane front 602 shape continuously changed. Consequently, the length of the in-plane crack 603 front,  $l_{xz}$ , continuously increased as  $h_{step}$  grew (see inset of Fig. 7b). Using 604 the measurement of  $h_{step}$  (see Fig. 6b), the variation of the total crack front 605 length  $l_{tot}$ , given by  $l_{xz} + 1.4h_{step}$ , can be obtained, as shown in Fig. 7b. 606

<sup>607</sup> With  $l_{tot}$  in hand, we can now define the total energy dissipation of the <sup>608</sup> entire crack front,  $\tilde{\Gamma}$ . This quantity, having physical dimensions  $J \cdot m^{-1}$ , is <sup>609</sup> calculated by summing the contribution of local energy dissipation  $\Gamma(v(z))$ <sup>610</sup> for each point along the total extent,  $l_{tot}$ , of the crack front. This reads:

$$\widetilde{\Gamma} = \int_{l_{tot}} \Gamma(v(z)) dz , \qquad (6)$$

where  $\Gamma(v(z))$  is the fracture energy of a *simple* crack.  $\Gamma(v(z))$  was determined using the local crack speed and the *independent* measurement of the fracture toughness provided in Fig. 4b. Since the overlapping structure of the

step is coupled with the in-plane cusp of the crack front and moves at speed 614  $v_{cusp}$ ,  $\Gamma$  in Eq. (6) is given by  $\int_{l_{xz}} \Gamma(v(z)) dz + 1.4 h_{step} \cdot \Gamma(v_{cusp})$ . The result 615 of  $\Gamma$ , measured at each instant shown in Fig. 7a, is presented in Fig. 7c (red 616 symbols). The figure shows that the total energy dissipated by the whole 617 crack front is invariant during the growth and motion of the step. Since 618 the local normal speed v(z) of the step-forming crack front weakly fluctuates 619 around its mean value, v, we can also approximate  $\widetilde{\Gamma}$  using the mean crack 620 front speed:  $\widetilde{\Gamma} \approx \Gamma(v) l_{tot}$ . As shown in Fig. 7c, the value of  $\widetilde{\Gamma}$  calculated 621 in this way is nearly indistinguishable from that calculated using the local 622 crack front speed v(z). The invariance of  $\Gamma$  is not trivial; it takes place de-623 spite the continuous development of the step, which significantly alters both 624 its shape and crack dynamics. Furthermore, since  $l_{tot}$  is correctly taken into 625 account, the energy balance of the 3D crack front is retained; the value of 626  $\overline{\Gamma}$  is precisely equal to the total energy flux into the crack front  $\overline{G} = \overline{G} \cdot w$ , 627 where G represents the energy flux far away from the crack, determined by 628 the speed of the steady-state propagation of the crack using Fig. 3b. 629

For comparison, we also present the energy dissipation that is obtained 630 were we not to consider the increased crack lengths induced by the step in 631 Fig. 7c. The discrepancy with  $\Gamma$  underscores the fact that all of the varia-632 tions in crack dynamics and crack geometries must be taken into account. 633 Without accounting for all of the crack front variations imposed by a step, 634 the constancy of  $\Gamma$  for constant G would not be apparent, for the typical ex-635 ample presented in Fig. 7c. More generally, the equality of  $\Gamma$  and G is shown 636 to be generally valid in Fig. 7d, where  $\Gamma$  is compared with G for numerous 637 different step-forming cracks. 638

#### 639 4. Discussion

Special attention must be drawn when any dissipative mechanism breaks 640 the invariance in z that is necessary for effectively 2D behavior. Upon any 641 change of the local dissipation, crack fronts may undergo significant changes 642 in dynamics, geometry, and even topology, while still retaining global energy 643 balance. A particular example is the presence of steps; conserving energy 644 balance while not being described by 2D LEFM. Examples of this can be 645 seen in the sharp jumps of the local velocity despite a constant G, both upon 646 step reflection from free boundaries (Fig. 2) and the transition from stepped 647 cracks front to simple cracks (e.g. Fig. 7a). Below we discuss a number of 648 phenomena that have been clarified by this study. 649

### <sup>650</sup> Incorporating crack front structure for slow cracks:

Once the 'bare' fracture energy  $\Gamma(v)$  is known (e.g. Fig. 3b), our results 651 suggest, at least for slow fracture in 3D isotropic materials, that geometric 652 considerations of crack fronts are all that is required for calculating the frac-653 ture energy. The opposite is also true; if one performs such a comparison, 654 and empirically finds that energy balance is not conserved, then there is a 655 strong likelihood that some aspect of the geometrical crack front structure 656 has been missed. Tanaka et al. (2000) understood this point and incorporated 657 crack surface roughness of faceted cracks in their estimates for  $\Gamma(v)$ . As Fig. 658 7 however shows, simply incorporating the surface roughness is insufficient. 659 The true determination of  $\Gamma$  depends critically on the details of the crack 660 front state; both the crack's out-of-plane structure as well as its curvature 661 and length must be taken into account. Without doing this, any apparent 662 'characteristic' dissipation that would result from naive 2D energy balance 663 would lead to significant errors. Such misinterpretation could lead to dis-664 crepancies or effective 'state dependence' in perceived values of  $\Gamma(v)$  as well 665 as 'effective' dissipation of the 2D problem that are inconsistent with crack 666 dynamics (as could be interpreted from the in-plane calculation in Fig. 7c). 667

#### <sup>668</sup> Incorporating crack front structure for dynamic cracks:

Once a crack becomes strongly dynamic (e.g.  $v > 0.5C_R$ ) then inertial 669 effects become important and purely geometrical contributions to  $\Gamma$  must be 670 supplemented by dynamic ones. In this vane, Sharon and Fineberg (1999) 671 showed that, for rapid dynamic cracks undergoing micro-branching (and con-672 sequent crack speed fluctuations), the application of energy balance to predict 673 the mean crack speed (even while incorporating all of the additional surface 674 created by the extensive micro-branches in  $\Gamma(v)$  is not sufficient to determine 675 the equation of motion for the resultant mean crack velocity. This same study 676 showed that only the crack speed of instantaneously *simple* crack states (for 677 which the inertial contributions are correctly incorporated by LEFM) could 678 correctly evaluate  $\Gamma(v)$  of the material. 679

### 680 Local Energy Balance:

In this work, we have measured the local energy dissipation  $\Gamma(v(z))$  along the crack front and the total energy flux G into the full crack front to probe the global energy balance  $\widetilde{\Gamma} = \widetilde{G}$ . While we have not directly demonstrated *local* energy balance, we believe that this property can be inferred from our

measurements. Our experiments have demonstrated that energy balance is 685 maintained in *each* moment in time, regardless of the instantaneous crack 686 front length or step position and amplitude. The result that global energy 687 balance holds for the numerous arbitrary and continuously varying crack front 688 shapes and dynamics that were sampled, therefore, constitutes a proof that 689 local energy balance  $\Gamma(v(z)) = G(v, z)$  at each point along the crack front 690 also takes place. This result has been implicitly assumed in many previous 691 studies, when non-planar fronts have been considered, but this assumption 692 had never been explicitly verified. Local energy balance was suggested by 693 the work of Chopin et al. (2011), who used Gao and Rice's first-order pertur-694 bation analysis of the crack front (Gao and Rice, 1989) to demonstrate that 695 local energy balance provides a good explanation of the crack front profile 696 when a crack moves along the boundary formed by a manufactured jump in 697 fracture energy. Kolvin et al. (2018) later used this analysis to quantitatively 698 describe the shape of a crack front resulting from a propagating step. 699

#### 700 Generality of the results:

Here, we have focused on the overall structure of stepped crack fronts 701 in polyacrylamide gels. The structure and influence of these steps may be 702 similar to the mechanisms that give rise to observed faceted fracture sur-703 faces in other brittle amorphous materials. Well known phenomena include 704 'lance-like' or 'twist-hackle' structures in glass (Sommer, 1969; Hull, 1999), 705 and faceted fracture surfaces in gelatin (Baumberger et al., 2008; Pham and 706 Ravi-Chandar, 2016) and Homalite H-100 (Pham and Ravi-Chandar, 2014, 707 2016). Facets in such amorphous materials should be qualitatively different 708 than the faceted fracture surface in brittle crystalline materials, as the latter 709 is formed by deflected crack fronts propagating along multiple crystal planes 710 (Kermode et al., 2008). Despite any differences in the physical natures of 711 facets, the necessity of accounting for crack front structure is generally true 712 in any analysis of energy balance. For example, we have seen in Fig. 4 that 713 even for the 'trivial' case of simple cracks, the crack front structure (crack 714 front curvature) should be taken into account to provide precise values for  $\Gamma$ . 715 716

<sup>717</sup> While this work has clarified much of the influence of secondary structure <sup>718</sup> on crack front dynamics, many questions still remain. Below, we note a <sup>719</sup> number of important unresolved issues.

# 720 Stability and Bistability:

We have found that the formation of simple cracks at low speeds critically 721 depends on the imposed initial and loading conditions. Once formed, simple 722 cracks remain surprisingly stable. On the other hand, at 'zero' velocity, very 723 slight anti-planar perturbations of the initial crack or slight mode mixity 724 in the loading will cause simple cracks to lose stability and excite stepped 725 cracks. Once excited, the stability of steps in gels is maintained, as Kolvin 726 et al. (2018) have shown, because of the step topology. At intermediate 727 velocities (e.g.  $v \sim 0.1c_R$ ) simple cracks become, apparently, much more 728 stable than faceted cracks; empirically, stepped fronts generally transition to 729 simple cracks for sufficiently large v. Thus, bistability is lost. The mechanism 730 driving this transition is still unknown. 731

#### 732 Anisotropic Materials:

Determination of the fracture energy using solely geometric considera-733 tions may not be true for ductile materials like anisotropic metallic alloys 734 (Pineau et al., 2016). The crack fronts in these materials are usually com-735 plex and even subtle details of the mode of fracture (e.g. plane strain vs. 736 plane stress) may well give rise to different values of the fracture energy 737 (via e.g. the local selection of different local fracture planes). These mate-738 rials may therefore undergo complex and stress-direction related dissipative 739 mechanisms (Garrison Jr and Moody, 1987) that are more complex than the 740 simple geometrical considerations that hold for isotropic materials, such as 741 gels. Such complexity could also invalidate the measure of G by the use of 742 J-integral measurements performed on a single material plane or free surface, 743 such as those utilized in our experiments. 744

#### <sup>745</sup> Validity of the 2D J-integral to 3D systems:

Even in effectively 2D systems, the accuracy of the *J*-integral is based on 746 the fact that all of the dissipative mechanisms are confined to the near-tip 747 region. This, for example, may not be the case when mechanisms such as 748 poro-viscosity are significant (Baumberger et al., 2006; Noselli et al., 2016). 740 In such cases, where significant bulk dissipation occurs (Bouklas et al., 2015; 750 Yu et al., 2018), path *dependence* will yield non-trivial information about 751 material properties. In our case, the path independence of our measurements 752 (see Fig. 3a) implies, for example, that (for the composition of polyacrylamide 753 gels that we used) poro-viscous contributions can indeed be neglected. 754

For non-simple crack fronts, if a contour approaches the crack front too closely, the 2D *J*-integral will no longer be an accurate measure of the strains surrounding the crack front as translational invariance along z is lost. Care should then be taken to ensure that interpretations obtained by such 2D calculations are valid; calculations must only be performed at distances such that the crack front structure does not significantly affect the z variation of the fields far from the crack front.

762

# 763 Properties of Steps:

Many properties of crack front steps remain unresolved. These include 764 how their steady-state height is determined, which for the gels used in these 765 experiments is  $h_{step} \sim 40 \ \mu m$ . In these polyacrylamide gels, steps always 766 grow upon nucleation or decay upon merging until stabilizing at  $h_{step} \sim 40$ 767  $\mu$ m. What is the significance of this 40  $\mu$ m scale? Kolvin et al. (2018) con-768 jectured that once a step emerges, the curved section, by generating a small 769 anti-planar perturbation, produces a significant repulsion from the straight 770 branch (Melin, 1983; Schwaab et al., 2018). As branch separation should lead 771 to a decrease in repulsion strength, it was conjectured that the separation 772 distance of the two branches forming a step should stabilize at the distance 773 corresponding to when the repulsion balances the attraction. As such, the 774 stable height of the step may be an intrinsic property, independent of the ma-775 terial properties, but dependent on a scale such as the size of the overlapping 776 section between the two fracture planes that form a step. This, however, has 777 vet to be demonstrated and, of course, is related to the complex 3D spatial 778 structure of crack front steps, which itself remains a theoretical/numerical 779 challenge. 780

#### <sup>781</sup> What determines the dynamic behavior of $\Gamma$ ?

Our measurements of  $\Gamma$  for simple cracks even in 'simple' isotropic mate-782 rials such as the elastomers used here, raise additional questions about the 783 role of the internal structure of polymers during the fracture process. For 784 example, why do elastomers have such a strong and monotonically increasing 785 fracture energy dependence for low values of v? One might expect that the 786 opposite would take place; prior to any crack extension due to fracture of the 787 material, the tangled polymer chains that make up a gel, if given sufficient 788 time (small v) should undergo large elongation and alignment, as well as 789 internal friction of the polymer strands (Yang et al., 2019; Baumberger and 790

Ronsin, 2020). In this picture, many of these dissipative processes would, 791 conceivably, not have time to develop at high values of v, so that naively 792 one might expect the fracture energy to *decrease* with v. As Figs. 3 and 4 793 demonstrate, this is obviously a wrong (or, at least, incomplete) picture. A 794 quantitatively accurate description of how this class of materials does break, 795 poses (in our view) an interesting challenge. If the large growth of  $\Gamma$  is due, 796 in some way to the internal structure of the elastomers used here, one might 797 expect to obtain the same behavior for other polymers as a function of v. 798 While this is an important question, there is, currently, insufficient informa-790 tion to perform such a comparison. 800

801

In conclusion, we have shown here that energy balance is indeed valid 802 but only when all of the geometric and dynamic variations of the 3D crack 803 front are quantitatively accounted for. Thus, hidden structure can, indeed, 804 trigger unexpected consequences and, often counter-intuitive, dynamic be-805 havior. The results of this study may have numerous implications to both 806 our fundamental understanding of fracture and resulting material properties 807 such as fracture toughness. We have shown that even for the very 'simple' 808 case of the fracture of a brittle material at quasi-static speeds (where inertial 809 effects are negligible), internal structure of cracks (or their internal 'state') 810 will play a crucial role in determining both fracture dynamics and 'effective' 811 fracture toughness. Realizing this is critically important to our interpretation 812 of observations in seemingly simple physical situations. 813

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