1 How Friction Starts: Nucleation fronts initiate frictional motion

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4 Rapid rupture fronts¹⁻⁵, akin to earthquakes, mediate the transition to frictional motion. Once formed, their singular form^{1,3,6–11}, dynamics^{12,13} and arrest^{2,14} are well-described by fracture mechanics. Ruptures, 5 6 however, first need to be created within initially rough frictional interfaces. Hence, ``static friction 7 coefficients" are not well-defined; frictional ruptures nucleate over a wide range of applied forces^{4,9,15,16}. 8 A critical open question is, therefore, how the nucleation of rupture fronts actually takes place^{17–23}. 9 Here, we experimentally show that rupture fronts are prefaced by slow nucleation fronts; self-similar 10 entities not described by fracture mechanics. They emerge from initially rough frictional interfaces at a well-defined stress threshold, evolve at characteristic velocity and time scales governed by stress levels, 11 12 and propagate within a frictional interface to form the initial rupture from which fracture mechanics 13 take over. These results are of fundamental importance to questions ranging from earthquake 14 nucleation and prediction to processes governing material failure. The question of rupture nucleation has a long history. The existence of a crack in a stressed brittle material 15

- 16 creates singular stress fields having the form $1/r^{1/2}$, where r is the distance from the crack's tip. Crack
- 17 propagation in perfect materials initiates only when a crack surpasses a critical length, the Griffith length,
- 18 which is determined by geometry and applied stresses²⁴. Below this length, crack propagation should not
- 19 occur. Beyond this length, the energy concentrated at a crack's tip becomes larger than that needed to
- 20 create more surface (the 'fracture energy') and cracks will propagate generally accelerating rapidly to
- 21 near sonic speeds. To lose stability to *fracture*, a material must, therefore, possess a defect or a 'seed
- 22 crack' of size *larger* than the Griffith length.
- 23 Will brittle materials fracture when sufficiently large seed cracks do not exist? In the tensile fracture of
- 24 heterogeneous materials, statistical coalescence of microscopic cracks^{25,26} surrounding the tip of cracks
- that are nearly the Griffith length can cause material failure. Similarly, shear fracture in (heterogeneous)
- 26 rock can occur by the sporadic growth and coalescence of material flaws²⁷. The details of these nucleation
- 27 processes, i.e. how small incipient cracks grow to surpass the Griffith length, are largely unknown.

28 Let us now consider a frictional interface. Frictional interfaces are inherently heterogeneous; these rough 29 interfaces are composed of ensembles of relatively sparse interconnecting contacts that bridge any two contacting bodies²⁸. Experiments have shown that the breakdown of a frictional interface is actually a 30 fracture problem^{29,30}; sliding only takes place when the contacts are broken by coherent fronts whose 31 32 dynamics and structure are those of shear cracks^{1,3,6,8,10}. Such interface cracks can be either rapid ('seismic') and approach the Rayleigh wave speed, c_R , or even quite slow^{12,31,32} (~0.02 c_R). While the latter 33 are 'aseismic' (their slow acceleration produces a negligible seismic signature), so long as they are beyond 34 the Griffith length, they are still quantitatively described by fracture mechanics¹². Slow propagation^{12,31} 35 in the framework of fracture mechanics will occur if crack lengths barely exceed the Griffith length. Stress 36 heterogeneities or variation of the fracture energy can even cause interface cracks to arrest^{2,14}. An open 37 question is whether all slow interface ruptures associated^{19,30,33,34} with aseismic precursory motion within 38

natural faults can be addressed by fracture mechanics. While some material-independent scenarios exist
 for propagation below the Griffith length^{17,34,35} clear experimental measurements are lacking.

41 Here we address the question of how frictional cracks nucleate, since, for rough interfaces, no initial crack 42 that is close to the Griffith length exists. Simplistic nucleation criteria (e.g. a `static friction coefficient') 43 are invalid^{4,16}; even considering the same two blocks¹⁵ nucleation of interface cracks may occur for "static 44 friction coefficients" that vary by factors of 5 for, ostensibly, identical nominal conditions. Experiments^{5,18–} ^{22,33} have observed that very slow 'aseismic' processes often preface the rapid interface break-down 45 46 ('seismic' processes) that leads to frictional motion, but the form that these processes take is unclear. 47 Numerical observations assuming velocity³⁶ or slip³⁷ weakening friction laws have also observed slow nucleation preceding rapid rupture, with no qualitative difference³⁶ between the nucleation phases of 48 small and large rupture events. Recent theoretical work¹⁷ suggests a well-defined mechanism for 49 50 nucleation; slow nucleation fronts, triggered at a well-defined critical shear stress threshold, whose 51 dynamics are unrelated to fracture mechanics.

52 Despite their importance, the *mechanisms* that gives rise to frictional (and earthquake³⁸) nucleation have

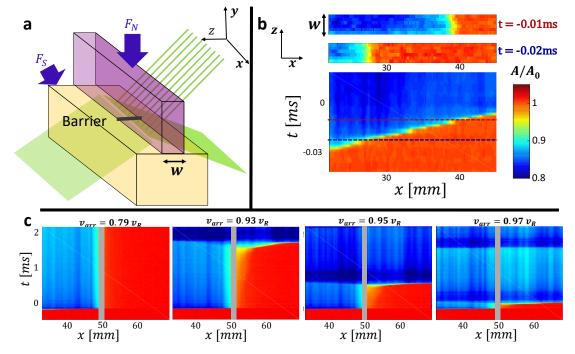
53 been incredibly elusive. The main reasons for this lie precisely in the unpredictability of the nucleation

54 process; our inability to control the nucleation location and conditions at the point of nucleation, with the

55 high spatial precision required, has impeded controlled studies of these critical processes.

- 56 By implementing a method that enables precise control of the location and stress conditions at the 57 nucleation location, we will experimentally demonstrate that the nucleation process occurs only when 58 applied stresses surpass a well-defined (but interface topography-dependent) threshold. At this point, 59 nucleation fronts are excited that are unrelated to dynamic fracture. They are extremely slow while 60 evolving and propagating at stress-dependent time scales. These fronts set the stage for the dynamic
- 61 ruptures governed by fracture mechanics.
- 62 Our experimental system¹, shown schematically in Fig. 1a, consists of 2 PMMA blocks that are pressed 63 together to form a frictional interface (Methods). Throughout each experiment, we continuously measure 64 the real contact area A(x, z, t) over the entire interface every 1.7 μs , by an optical method based on total internal reflection³². The interface, of width w = 5.5mm and length 200mm consists of randomly 65 66 distributed contacts with roughness ~ $3\mu m$. At selected locations, x_0 , we use a marker to create 1-4mm 67 thick `barriers', oriented along the z direction, whose fracture energy is about 5 times that of the bare interface (Extended Data Fig. 1). After application of a constant normal load F_N (4.5 MPa), we quasi-68 69 statically increased the applied shear, F_S , until initial ruptures fronts (Fig. 1b) nucleated at x = 0 and 70 propagated in the positive x direction. These initial ruptures accelerate to velocities, v_{arr} , before abruptly arresting^{2,14} upon encountering the near side of a barrier. At later times, new rupture fronts will nucleate 71 72 at well-defined locations near the barrier's far side.
- 73 Examples of rupture arrest and subsequent nucleation are presented in Fig. 1c. While rupture arrests are
- abrupt, it is clear that the time required for nucleation is strongly dependent on the velocity, v_{arr} , of the
- 75 initial ruptures immediately preceding their arrest. Moreover, as we will show, the stress conditions and
- nucleation times are determined by v_{arr} , which range up to the Rayleigh wave speed, c_R =1255 m/s. The

- higher v_{arr} , the shorter the nucleation time. Furthermore, *no* nucleation takes place (Fig. 1c –left panel)
- 78 for v_{arr} below location-dependent threshold values.



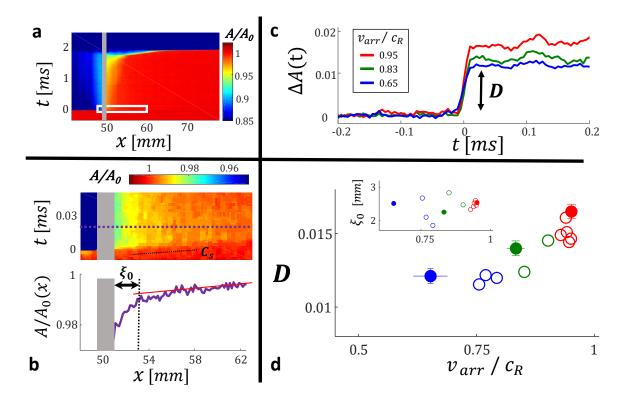
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80 Figure 1. Experimental setup and rupture arrest by imposing barriers. a, The real contact area, A(x, z, t), (width 81 w = 5.5mm and length 200mm) forming the frictional interface between two PMMA blocks is measured every 82 $1.7\mu s$ by an incoherent light sheet using the total internal reflection method (Methods). A high fracture energy 83 barrier of width $\sim 1 - 4mm$ was imprinted across the interface (gray line). **b**, (top) A(x, z, t) of a typical rupture 84 front at the onset of stick-slip motion at times 10µs apart. (bottom) Full spatiotemporal dynamics of the contact 85 area, averaged over the z axis. A(x, z, t) is normalized by $A_0 = A(x, z, t_0)$, where t_0 is a time prior to rupture 86 propagation. Dashed lines denote the times of the snapshots in the top panel. c, Examples where spontaneously 87 nucleated ruptures arrest at a barrier located at $x_0 = 50$ mm (grey boxes). Noted are their propagation velocities, 88 v_{arr} , at arrest. Arrested ruptures may trigger the nucleation of a new rupture on the far side of the barrier. The 89 duration of the nucleation process decreases with increasing v_{arr} . Below a threshold value of v_{arr} (left panel) 90 arrested ruptures will not nucleate another rupture.

91 In Fig. 2 we focus on the short-time effects of the sudden rupture arrest on the nucleation area. Each 92 rupture arrest produces a wave that propagates at approximately the shear wave velocity, C_{s} , that, within 93 $\sim 10\mu s$ of its passage, reduces $A(x,t) \equiv \langle A(x,z,t) \rangle_z$ by 1-2% in a 'damage zone' that extends a few 94 mm's beyond the far side of the barrier. The damage zone is indicated by a sharp drop of A(x, t) followed by a region of gradually decreasing damage. We utilize the edge of the sharp reduction of A(x,t) to 95 96 characterize the extent of the damage zone, ξ_0 (Fig. 2b). ξ_0 is defined as the point where A(x) falls below 97 the gradual damage level by 2 standard deviations of the measurement noise (Methods). The amount of the initial damage, D, increases with v_{arr} , as shown in Figs. 2c,d. Damage jumps, $D(v_{arr})$, occur within 98 ~10 μs of rupture arrest. After that, D(v_{arr}) are stable, with only slight fluctuations resulting from wave 99 reflections from the block boundaries. It is interesting that the size of ξ_0 is nearly independent of v_{arr} 100 101 (inset) and consistent with the nonlinear scale preceding rupture tips identified in recent cohesive zone

measurements³⁹. Furthermore, while damage always takes place, rupture nucleation does not always
 occur; blue symbols in Fig. 2c,d denote arrest events that did *not* nucleate.

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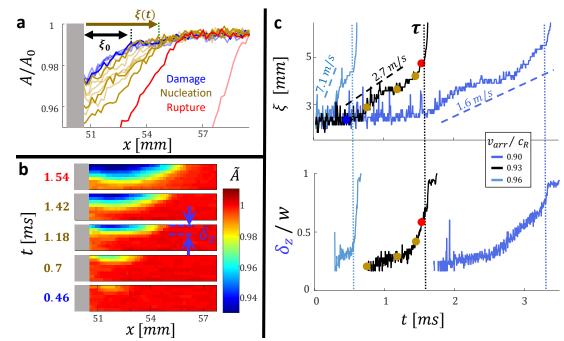
106 Figure 2. Rupture arrest generates initial damage ahead. a, Spatiotemporal dynamics of a typical nucleation process 107 upon arrest of an impinging rupture. (imposed barrier in gray) **b**, (top) Close-up of A(x, t) upon crack arrest. (white 108 rectangle in **a**) showing the passage of a wave (velocity $\sim C_s$) generated by the arrested rupture. This wave triggers 109 damage (reduced A(x,t)) in a zone extending a distance ξ_0 from the far side of the barrier. (bottom) The damage zone size, ξ_0 , is evident from the instantaneous spatial profile, A(x, t), of the contact area at time t = 0.02ms110 111 (dashed line in top panel). ξ_0 is defined as the point where the distance between the measurements and 112 extrapolated fit (red line) of values of $\langle A(x) \rangle$ far from the barrier exceeds 2 standard deviations. Here, $\langle A(x) \rangle$ is the 113 time averaged contact area within the temporally stationary damage zone (Methods). c, A(x,t) jumps upon 114 passage of the shear wave. Shown are $\Delta A(t) = \langle 1 - A / A_0 \rangle_{z,x < \xi_0}$ from 3 events with different v_{arr} . We define the 115 initial damage for each event, D, as the jump in $\Delta A(t)$. **d**, D dependence on v_{arr} . While D, clearly increases with 116 v_{arr} , the damage zone size, ξ_0 , (inset) does not. Symbol colors: (blue) events that didn't form nucleation fronts, 117 (red) events that nucleated and reached dynamic rupture, (green) events that formed nucleation fronts that 118 triggered arrested dynamic ruptures. Filled circles: the events presented in (c).

119 If a new crack is nucleated, nucleation will occur on the far side of the barrier (Fig. 1c), as the contacts on

120 the far side of the barrier are both damaged (Fig. 2) and experience high shear stresses remotely imposed

- 121 by the arrested initial crack. Knowledge of the nucleation location enables us to focus on the nucleation
- 122 process and track the formation of the new rupture front.
- 123 After a static 'damage' stage, where the spatial extent of ξ_0 is stationary, nucleation fronts, defined by
- the propagating edges of the damage zone in the x direction, $\xi(t)$, form within the weakened area and
- 125 slowly expand in space. An example of the nucleation phase is presented in Fig 3. After an initial period

where $\xi(t) = \xi_0$, (blue lines in Fig. 3a) the regions of reduced A(x, t) slowly expand and deepen. $\xi(t)$ 126 is indicated by the brown profiles in Fig. 3a. $v_{nuc} \equiv d\xi/dt$, the nucleation front velocities, are extremely 127 slow ($1 < v_{nuc} < 10ms^{-1}$) and nearly constant over the 3-4mm length of the nucleation zone. The slow 128 expansion characterized by v_{nuc} simultaneously takes place in the z direction. Defining $\delta_z(t)$ as the mean 129 130 width in z of the nucleation zone (Methods and Fig. 3b), we find that both $\xi(t)$ and $\delta_z(t)$ expand at 131 about the same rate, as is evident in the typical 2D dynamics presented in Fig. 3b and quantified in Fig. 132 3c. This slow 2D propagation continues until losing stability, at a time τ after the damage onset (Fig. 2). 133 At $t = \tau$ nucleation fronts abruptly undergo rapid acceleration (Fig. 3c) that signals the onset of dynamic 134 rupture. Here, ruptures become shear cracks¹ whose dynamics are described by fracture mechanics¹². 135 Dynamic ruptures continuously accelerate to propagation velocities about 2 orders of magnitude greater 136 than v_{nuc} .

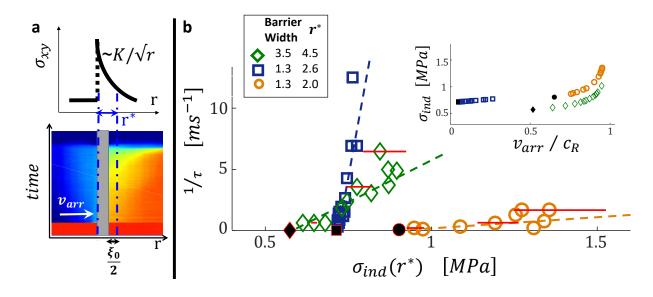


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138 Figure 3. Nucleation is mediated by slowly propagating nucleation fronts. a, Successive profiles (intervals of 139 0.12ms) of A(x, t) showing the transition from the static damage phase (blue) to slow propagation of a nucleation 140 front (brown) that triggers dynamic rupture (red). $\xi(t)$, denoting the leading edge of the nucleation front, is defined 141 like ξ_0 in Fig. 2. **b**, $\tilde{A}(x, z, t)$ shows the slow 2D evolution of the nucleation front, normalized after the initial damage 142 triggered by the rupture arrest at t = 0. Snapshots correspond to bold profiles in (a). c, Propagation of nucleation 143 fronts for 3 events in (top) x, and (bottom) z. $\delta_z(t)$ is the mean width (in z) of a rupture front (see (b) and Methods). 144 w is the sample width. Black curves describe the event in (a,b); circles mark the snapshots in (b). The timescale, τ , 145 of each event is defined by the sharp onset of the rapid accelerations of $\xi(t)$ (dotted lines) that mark the initiation 146 of dynamic rupture. Dashed lines: mean nucleation-front velocities, v_{nuc} .

147 What governs the values of τ ? Fig. 3c suggests that τ decrease with v_{arr} . Using fracture mechanics, we 148 can relate v_{arr} to the magnitude of the induced shear stress field, $\sigma_{ind}(r) \propto K(v_{arr}) \cdot r^{-1/2}$, created 149 ahead of the barrier by the arrested ruptures, where K is the stress intensity factor²⁴ (Methods). 150 Nucleation always takes place within the initial damage zone ($x < \xi_0$), but its precise location is difficult 151 to identify. We, therefore, define the nucleation point as the center of the damage zone ($\xi_0/2$ from the

barrier's far side (Fig. 4a)). $\sigma_{ind}(r^*)$ approximates the stress field at the nucleation point, whose distance 152 153 is r^* from the arrested crack tip. In Fig. 4b we compare 3 different sets of experiments conducted under similar conditions (using the same samples and normal load). The sets differed in the barrier (arrest) 154 155 location and barrier width imposed (Fig. 1). Each barrier location triggered nucleation within a different region within the interface, resulting in a different r^{*} values and ranges of v_{arr} obtained (inset Fig. 4b). 156 157 In each of these, we computed the value of $K(v_{arr})$ at the rupture arrest (Methods). This procedure 158 enables the comparison (Fig. 4b) of $\sigma_{ind}(r^*)$ vs. τ for each data set. In all sets, τ is a continuous function of $\sigma_{ind}(r^*)$ with an approximate dependence $\sigma_{ind}(r^*) \propto 1/\tau$. Moreover, while the proportionality 159 160 factor varied with the barrier location, all experiments yielded a distinct (location – dependent) threshold, 161 σ_{thresh} , below which no nucleation front was excited.



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164 Figure 4. The shear stress level determines the nucleation time, τ . a, We used fracture mechanics to relate v_{arr} 165 to the magnitude, K, of the stress singularity created by the barrier-arrested rupture, hence the induced stress, 166 $\sigma_{ind}(r^*)$, at the nucleation point, r^* (distance from the arrest point to the damage zone center, $\xi_0/2$ from the 167 barrier). **b**, τ dependence on σ_{ind} . Shown are many events from experiments performed under similar conditions 168 at barrier locations, 100mm (squares), 70mm (diamonds), and 50mm (circles). The latter data set was used in Figs. 169 1-5. Dashed lines are guides for extrapolation to $\tau \to \infty$. Black shapes: Highest $\sigma_{ind} \leq \sigma_{thresh}$ for which no 170 nucleation front was observed, within the 15ms limits set by our experimental apparatus. The longest value of au171 observed was 9ms; values of τ spanned over 1 (circles, diamonds) to 2 (squares) orders of magnitude. Typical error bars correspond to our $20-30ms^{-1}$ resolution in v_{arr} . Noted are the values of r^* measured for each barrier. (inset) 172 173 σ_{ind} as determined by v_{arr} .

The timescale τ characterizes the nucleation process. In Fig. 5 we show that all dynamic quantities measured within the nucleation process are governed by this single timescale, which can span over an order of magnitude. $\xi(t)$ and $\delta_z(t)$ describe locations of the leading edges of the front, while $\Delta A(t) \equiv$ $1 - \langle A(x,t)/A_0 \rangle_{z,x < \xi_0}$ describes the contact area reduction at the 'tail' of the front, within the initial damage zone (Fig. 2). $\Delta A(t)$ increases continuously until the onset of dynamic rupture at $t = \tau$. As Fig. 5

demonstrates, when t is scaled by τ , the evolutions of all of these independent quantities collapse to well-

180 defined (local topography-dependent) functions for $t < 0.8 \cdot \tau$ for each of the different experiments 181 presented in Fig. 4b.

The data collapse evident for $t < \tau$ breaks down, once dynamic fracture initiates. In Fig. 5 events where 182 183 nucleation fronts triggered dynamic ruptures are denoted by shades of red. For comparison, we also 184 include an example (blue) where no nucleation front was excited as well as examples (green) for which 185 rupture fronts eventually arrested. The instability point at $\xi(t = \tau)$, which depends on the barrier 186 location, is where dynamic fracture initiates. The breakdown of the scaling of $\xi(t)$, $\delta_z(t)$ and $\Delta A(t)$ at 187 this point suggests that the nucleation process is indeed disassociated from that of dynamic rupture; for 188 $x < \xi(\tau)$ all nucleation fronts behave in the same way, whereas for $x > \xi(\tau)$ front dynamics are governed by fracture mechanics¹². In the borderline cases (green in Fig. 5) fronts arrest beyond τ , possibly 189 due to either local fracture energy barriers or aging processes⁴⁰ that are important at time scales > 1ms. 190

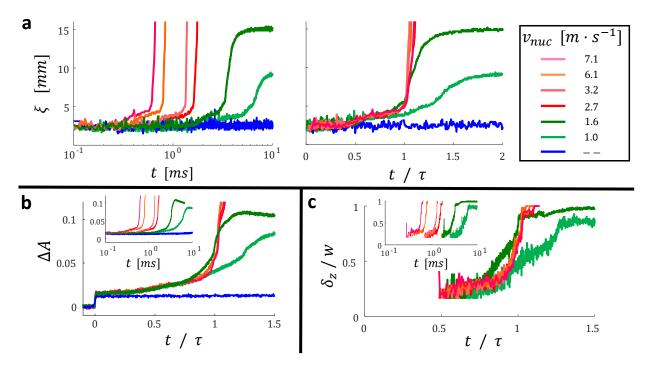




Figure 5. Nucleation dynamics scale with the nucleation time, τ . a. (left) Nucleation front propagation in the xdirection, $\xi(t)$, for events at the same (50mm) barrier location with $1.0 < v_{nuc} < 7.1 ms^{-1}$. (right) When scaled by τ , $\xi(t/\tau)$ collapse to a single form for $t < 0.8 \cdot \tau$. (blue) no nucleation and (green) nucleation fronts that either arrested beyond τ or (red) developed to fully dynamic ruptures. **b**, Contact area levels at the 'tail' of the front, $\Delta A(t/\tau) \equiv 1 - \langle A(x, t/\tau)/A_0 \rangle_{z,x} < \xi_0$, **c**, nucleation front propagation in the *z* direction, $\delta_z(t/\tau) / w$, for the same events (See Fig. 2) . Insets are respective unscaled data. Note that during dynamic rupture ($t > \tau$), when the dynamics are governed by fracture mechanics, no such scaling is observed.

199 We have used the stress transfer resulting from arrested ruptures to show that nucleation fronts are well-

- 200 defined vehicles that create the conditions enabling rapid interface rupture. The transferred stress first
- 201 weakens the nucleation area (Fig. 2) and then drives the nucleation process (Fig. 4). Such stress transfer
- is also believed to drive "remote triggering" of natural earthquakes⁴¹.
- 203

- We have seen that nucleation fronts are 'inertia-less', propagating slowly at approximately constant (stress-dependent) velocities. We have also seen that, upon surpassing a nucleation length, $\xi(t = \tau) - \xi_0/2$, these fronts undergo abrupt transitions to the rapidly accelerating, inertially driven, high speed
- rupture fronts that are identified with shear cracks. These nucleation lengths range from 3 to 8 mm and
- 208 closely correspond to Griffith lengths (Methods and Extended Data Figures 2 and 3) calculated using
- fracture mechanics. We, therefore, identify nucleation lengths with the corresponding Griffith lengths,
- L_G . This identification, together with the inertia-less dynamics of the nucleation fronts, may also provide
- a plausible explanation for the linear relation between τ^{-1} and $\sigma_{ind} \sigma_{thresh}$ in Fig. 4b (Methods).
- Our observations of scaling behavior and inertia-less propagation that takes place significantly below L_G clearly demonstrate that nucleation fronts are wholly different entities than the rupture fronts described by fracture mechanics. These qualities are consistent with recent predictions¹⁷ of distinct nucleation fronts with a major difference; while both are excited beyond a clear threshold, theoretical predictions anticipate that this threshold is *above* L_G , in contrast to experimentally observed fronts.
- Beyond L_G , a transition from 2D to 1D fronts (i.e. $\delta_z \rightarrow w$) occurs where additional jumps in rupture velocity are observed, as in recent experiments^{23,42}. We ascribe this to geometrical considerations (Methods) and not to new physics. It is noteworthy that the Griffith length is about 100 times smaller than critical rupture lengths predicted via "Rate and State" friction⁴³ and an order of magnitude lower than predicted by friction laws incorporating slip weakening³⁷ (Methods).
- 222 Details like the front shapes, their explicit stress dependence and stress thresholds, however, do depend 223 on nucleation locations, as in the different experiments presented in Fig. 4. These differences indicate the 224 importance of the discrete nature of the interface and its local topography (which determines the fracture 225 energy landscape). The discrete nature of frictional interfaces may be intimately related to the mechanism that drives nucleation fronts^{34,35}. An interesting open question is whether this mechanism is related to 226 227 mechanisms³⁴ used to model experimentally observed slow fronts in which the discrete nature of the 228 interface plays a key role. Whether a slow front is below or above the Griffith length has a critical effect 229 on both how they are driven as well as their consequent dynamics^{17,34}.
- 230 We have characterized a new mechanism for the nucleation of frictional ruptures, a system that is 231 important in its own right as well as in analogous natural settings, such as earthquake nucleation. One 232 characteristic of these extremely slow nucleation fronts is that they are inherently aseismic²². Within 233 natural faults, we would therefore expect their excitation to be accompanied by a pronounced reduction 234 of background seismicity near hypocenters of emerging earthquakes. The general type of nucleation process described here, may also provide insight to the more general question of how the onset of 235 fracture takes place. This, despite much work²⁶, still remains a challenge to our fundamental 236 237 understanding of material stability.
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- Author Contributions: SG performed the experimental measurements. SG and JF contributed to the data
 analysis, experimental design and writing of the manuscript.

242 Methods Summary:

- 243 The Methods section includes descriptions of the sample construction, measurements, loading system
- and experimental protocol. Also included are descriptions of the influence of the marker on the fracture
- 245 energy, and how we determined the quantities v_{arr} , $\Delta A(t)$, $\xi(t)$, τ , and σ_{ind} . We also include
- 246 descriptions of the Griffith length calculation and our estimation of the critical nucleation length using
- 247 Rate and State and Slip Weakening friction parameters. We additionally include an explanation of the
- linear relation between τ^{-1} and σ_{ind} . Extended Data Figures 1-3 are contained in this section.
- 249 Extended Data Figure 1: Fracture energy increase by the marker layer.
- 250 Extended Data Figure 2: Calculation of theoretical stress intensity factors.
- 251 Extended Data Figure 3. Comparison of theoretical and measured Griffith lengths.

252 Data Availability

253 Source data for Figs. 2c,d, 3a,c,d, 4b and 5 are available with this paper. All other data that support the 254 plots within this paper and other findings of this study are available from the corresponding author upon 255 request.

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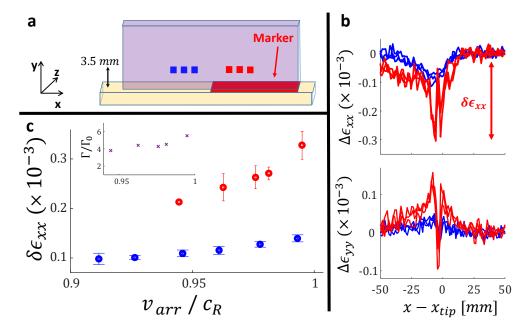
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- 363

364 <u>Methods</u>

365 <u>Sample construction</u>

- 366 Our samples were constructed of poly(methylmethacrylate) (PMMA) blocks. The $x \times y \times z$ dimensions 367 of the top and bottom blocks were, respectively, 200 X 100 X 5.5mm and 290 X 28 X 30mm. The top block 368 was diamond machined to be optically flat. The bottom block had an overall flatness to within 5 μ m and 369 was ground to have a 3 μ m r.m.s surface roughness. The longitudinal, c_L , and shear, c_S , wave velocities 370 were ultrasonically measured⁴⁴ under plain strain conditions. We obtained values of $c_p = 2680 \text{ m/s}$ and 371 $c_S = 1361 \text{ m/s}$, with a $\pm 10 \text{ m/s}$ error. This yields a Rayleigh velocity, c_R , of $1255 \pm 10 \text{ m/s}$. The dynamic
- Young's modulus and Poisson ratio are E=5.75 \pm 0.15 GPa and ρ =0.33 \pm 0.0007 respectively. The density
- of the PMMA used was $1170\pm 10 kg/m^3$ Note that PMMA is viscoelastic. We measured the static Young's
- 374 modulus to be 3.62 ± 0.3 GPa.
- 375 Barrier Construction
- To create a surface barrier we used a Staedtler permanent marker of size M. The marker color was chosen
- to be blue, which we found to be largely transparent to the blue (470nm) light used to illuminate the
- 378 interface. Prior to each set of experiments, we drew a line across the bottom block in the z direction
- 379 (normal to the propagation direction, x), and waited for an hour until the marker's solvent evaporated.
- 380 The effects of the marker could be erased by cleansing the interface with isopropyl alcohol.

We chose to implement different barrier widths between 1-4 *mm*. The barrier locations were changed from set to set. Using an optical profilometer, we found that the thickness of the marker layer was measured to be $< 1\mu m$ above the surface.



384

385 Extended Data Figure 1: Fracture energy increase by the marker layer. a. Schematic description of the experiment. 386 Half of the interface was painted with a marker layer, and the strain signals were measured by a number of rosette 387 type strain gages located about 3.5 mm above the interface. Blue (red) colors correspond to the bare (painted with 388 marker) interface, respectively. **b.** Comparison of the $\Delta \epsilon_{xx}$ (top) and $\Delta \epsilon_{yy}$ (bottom) signals of the same rupture 389 front in the bare (blue) and painted (red) regions, respectively. This rupture front propagated at a velocity of 390 $1200 m/s = 0.95 c_R$. Superimposed are 3 successive measurements spaced 7mm apart in both the bare (blue) and 391 painted (red) regions. The influence of the marker is evident on the amplitudes. Shown are (top) the $\Delta \epsilon_{xx}$ and 392 (bottom) $\Delta \epsilon_{yy}$ components, whose respective amplitudes $\delta \epsilon_{xx}$ and $\delta \epsilon_{yy}$ are proportional to the instantaneous 393 values of the stress intensity factor, K. c. $\delta \epsilon_{xx}$ as a function of the rupture velocity in both regions. Each point is an 394 average of 2-10 measurements; the error bars are their standard deviation. Colors denote the painted (red) and bare 395 (blue) sections of the interface. (inset) The resulting fracture energy ratios of painted and bare surfaces. Use of the 396 marker increases Γ on the interface by approximately a factor of 5.

397 The influence of the marker on the fracture energy along the interface was measured in separate 398 experiments, in which half of the top block was painted with the marker, while the other half remained 399 bare. The fracture energy, Γ , within each section was evaluated from the amplitude of the strain signals, 400 as measured by strain gages located about 3.5 mm above the interface, as in previous studies^{1,2,39}. The 401 strain field amplitudes, are proportional to the instantaneous stress intensity factor, K, of the singular component of the near-tip fields, as the rupture front traverses each strain gage¹. As^{1,24,45} $\Gamma \propto K^2$, we 402 403 have $\Gamma \propto \delta \epsilon_{xx}^2$, where $\delta \epsilon_{xx}$ is the maximal strain field amplitude. The results, presented in Extended Data 404 Fig. 1, show that the marker increases Γ by about a factor of 5.

405 Loading system

The loading system used was described, in detail, previously^{1,15}. The top block was clamped at its top edge and was pressed to the bottom block with an external force $F_N = 5000$ N in the y direction yielding a mean

normal stress of 4.5 MPa at the interface. F_N was maintained to be constant throughout each experiment.

- 409 The bottom block was mounted on a low friction translational stage, and stick slip behavior was achieved
- 410 by a quasi-static (~ 10μ m/s or about 50N/s) loading of a shear force in the x direction. In all of the
- 411 events shown here, spontaneous cracks were nucleated near the x = 0 edge and propagated in the x
- direction until arresting at the barriers. Note that, over the 1-10ms duration of these experiments, the
- effects of the loading are negligible; the displacements and applied shear force varied, respectively, by
- 414 less than 1-10nm and 0.002-0.02%.

415 <u>Real contact area measurements</u>

416 Changes in the real contact area were measured by an optical method based on total internal reflection, as light is transferred only at contact points^{1,15,32,39}. The transmitted light intensity is proportional to 417 A(x, z, t) and is continuously imaged at a 580000 frame/s rate with a spatial resolution of 1280×8 pixels 418 419 in the $x \times z$ directions. This translates to a mapping of $165 \times 688 \ \mu m/pixel$ in the x and z directions, 420 respectively. We illuminate the interface by means of a light sheet at a 70° incident angle that is 421 constructed using a high power LED (CBT 120) as a non-coherent light source to avoid interference 422 patterns and photoelastic effects. This incident angle is far from the critical angle ($\sim 42^{\circ}$) for total internal 423 reflection from PMMA to air, so that nearly all of the light impinging on non-contacting area is reflected 424 away from the interface. The transmitted light, imaged by the camera, is therefore mapped to A(x, z, t).

425 <u>*varr* determination</u>

- 426 Instantaneous rupture velocities were derived from the propagation of the tip location, which was defined 427 as the point where the value of A(x, t) is decreased by 3%. The velocity of the rupture fronts when
- 428 reaching the barrier, v_{arr} , were measured by a linear extrapolation of the velocity measurements in the
- 429 preceding 15-20 mm to the barrier locations, x_0 . This procedure yielded errors in the range of 20-30 m/s.
- 430 This uncertainty produced negligible errors in estimations of σ_{ind} except when $v_{arr} \rightarrow c_R$, where the
- 431 stresses σ_{ind} at the rupture tip become singular²⁴ as a function of $c_R v_{arr}$. This increased uncertainty is
- 432 noted by the error bars within Fig. 4.

433 <u>Rupture edge detection</u>

- 434 $\xi(t)$ is defined as the leading edge of the rupture front in the *x* direction. To determine $\xi(t)$, we used 435 profiles, $\langle A(x,t) \rangle$, defined by A(x,t), averaged over the *z* axis, and smoothed over a time interval (~20 μs
- for propagating fronts) where fluctuations of A(x, t) were less than $200\mu m$. The precise location of $\xi(t)$
- 437 was determined by fitting a second order polynomial (red line in Fig. 2b) to the $\langle A(x,t) \rangle$ profile of a region
- ahead of the approximate front (we used a $1 < x x_{app} < 50$ mm range to perform these fits, where x_{app}
- 439 is the location of the approximated location). Our measurement noise is given by the standard deviation
- of A(x, t) around the fitted region. The rupture edge was defined as the location where the A(x, t) profile
- 441 dropped 2 standard deviations below the extrapolated fit.
- 442 The average widths of the rupture, $\delta_z(t)$, were determined as follows. The nucleation patches generally
- 443 initiated near one of the interface's free faces, at either z = 0 or w. For each x location within the
- 444 propagating nucleation fronts, $\xi_0 < x < \xi(t)$, we chose the furthest point in z from the sample edge for
- 445 which A dropped by 2%. $\delta_z(t)$ was defined as the mean value of these measurements.
- 446 We note that for the $\delta_z(t)$ determination we used the center 6 out of the 8 rows measured in the z
- 447 direction, to avoid edge effects.

448 Damage Values, $\Delta A(t)$

449 We quantify the damage at the 'tail' of the nucleation front by averaging the changes in A within the 450 damage zone, as $\Delta A(t) \equiv 1 - \langle A(x,t)/A_0 \rangle_{z,x < \xi_0}$. The initial damage, *D*, was taken as the sharp jump in

451 ΔA within 10 μs after the passage of the shear wave generated by the rupture arrest at the barriers.

452 au determination

453 The nucleation time, τ , at which nucleation fronts became unstable was defined by the onset of sharp

454 acceleration in the $\xi(t)$ curve. This acceleration, which precedes dynamic rupture onset, is quite sharp,

455 with an uncertainty of less than $30\mu s$ for all experiments performed.

456 Induced stress, σ_{ind} , calculations

457 The induced stress field from the arrested crack was calculated using fracture mechanics. We used the 458 relations in (1) to obtain the static stress intensity factor of the arrested crack, K.

459
$$\Gamma = G_S \cdot g(v) = K^2 / E \cdot (1 - \rho^2) g(v)$$
(1)

In (1), ρ is the Poisson ratio and g(v) is a universal dynamic function²⁴ that depends on the 460 instantaneous velocity, v, of the rupture front. G_S is the static energy release rate given by $\frac{K^2(v=0)}{F} \cdot (1 - 1)^{K^2(v=0)} \cdot (1 - 1)^{K^2(v=0$ 461 ho^2). By determining K we could derive the induced stress field ahead of the crack, at all points, r, ahead 462 of the arrest location. Note that fracture mechanics provides not the stress, $\sigma_{xy}(r)$, at a point r but, 463 instead, the difference, $\Delta \sigma_{xy}(r) = \sigma_{xy}(r) - \sigma_{res}$, from the residual stress, σ_{res} , that remains after 464 rupture has taken place²⁹. Once $K = \sqrt{(E\Gamma/(1-\rho^2))} \cdot g(v_{arr})^{-\frac{1}{2}}$ is determined from (1), then $\Delta \sigma_{xy}(r)$ 465 is given by 24 : 466

467
$$\Delta \sigma_{xy}(r) = \sqrt{(E\Gamma/(1-\rho^2))} \cdot g$$

$$\Delta \sigma_{xy}(r) = \sqrt{(E\Gamma/(1-\rho^2))} \cdot g(v_{arr})^{-\frac{1}{2}} \cdot (2\pi r)^{-\frac{1}{2}}$$
(2)

The value of σ_{ind} at the nucleation point was defined as $\Delta \sigma_{xy}(r^*)$, where r^* is the distance from the 468 arrested crack tip to the nucleation point of the nucleation fronts. This location was approximated as the 469 center of the initial damage zone: $r^* \equiv L + \xi_0/2$, where L is the barrier width. 470

The values of r^* were, on average, constant in each set of experiments, with an uncertainty of about 471 472 0.3 mm. The values $\sigma_{ind}(r^*)$ used in Fig. 4 were, therefore, determined using the average value of r^* .

Griffith length approximation 473

474 To determine the nature of slow propagation during the nucleation phase, we calculated the theoretical Griffith length of a nucleation front of length l. Beyond a critical length of the nucleation front, fracture 475 mechanics predicts that crack propagation is governed by fracture mechanics. The critical value of K, K_c , 476

is determined by the measured fracture energy $\Gamma \cong 1 \text{ J/m}^2$; $K_c = \sqrt{(E\Gamma)/(1-\rho^2)}$. To this end, we 477

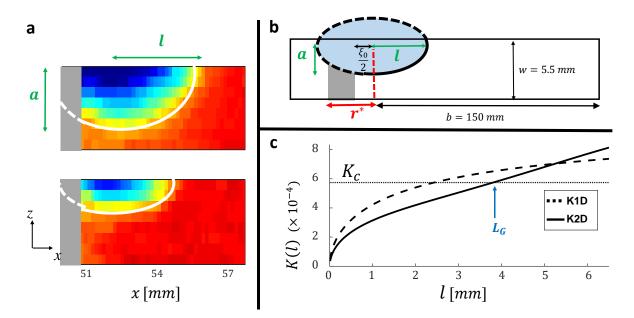
478 computed the theoretical static stress intensity factor, K(l), of a shear crack of length l from the nucleation location within our nucleation zone. For a one dimensional crack (in a 2D medium) K(l) can 479

calculated using the Eshelby integral²⁴ with a (0.88) correction factor⁴⁶ for a center crack: 480

481
$$K(l) = 0.88 \sqrt{\frac{2}{\pi l}} \int_0^l \frac{\Delta \sigma_{xy}(s)}{\sqrt{1 - (s/l)^2}} ds$$
(3)

Note that the integration starts from the nucleation point, which is located in the center of the damage zone ($\xi_0/2$). We assume that the stress value, $\Delta \sigma_{xy}(s)$, at every nucleation front length, *s*, in the integrand is that of the singular field given by (2) of the arrested crack at the barrier location, x_0 (e.g. $\Delta \sigma_{xy}(s = 0) = \sigma_{ind} \equiv \Delta \sigma_{xy}(r^*)$).

Our nucleated crack fronts were **not** 1D through-cracks in a 2D medium. Instead, nucleated crack fronts had an approximate elliptical shape in the xz plane of axis ratio $\delta/l = 0.85$. As a result, the computed K(l) from (3) had to be corrected^{46,47} to account for this elliptical geometry. The elliptical crack shape reduces the stress intensity factor, relative to a 1D center crack of the same length, resulting in an increase of the Griffith length, L_G .

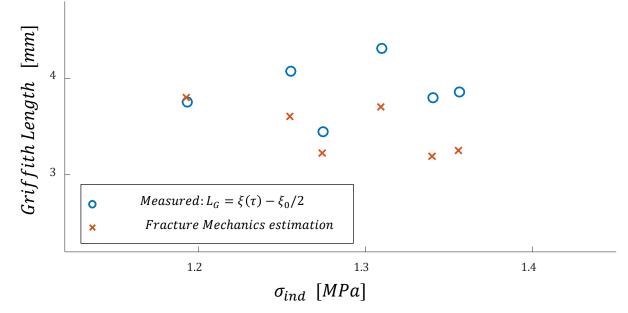


491

492 Extended Data Figure 2. Calculation of theoretical stress intensity factors. a. Two snapshots of the expanding rupture during the nucleation phase of the event presented in Fig. 3. We can approximate the general shape of the 493 nucleating patch by a semi-elliptical edge crack⁴⁷, as denoted by the white line. The ellipse's axis ratio, a/l is 494 495 approximately 0.85, and remains fairly constant throughout the entire nucleation phase. b. Schematic description 496 of the calculation⁴⁷ of the stress intensity factor K, of a semi-elliptical edge crack. The parameters w and b used in 497 the calculation are noted. The nucleation patch was assumed to propagate in the x direction. The nucleation point 498 at the center of the ellipse, is located at the center of the initial damage zone, a distance of $\xi_0/2$ from the right edge 499 of the marker. The propagation distance, l(t), used in the calculation is therefore $l = \xi(t) - \xi_0/2$ in terms of the 500 damage zone size, ξ_0 and nucleation front location, $\xi(t)$, which are both defined from the barrier edge (Fig. 3a). c. 501 The theoretical stress intensity factor as a function of crack length for the 1D (dashed line) and the elliptical (full line) 502 cases. The stress field used in this example is that denoted by the black line in Fig. 3c, where $v_{arr} = 1160 m/s$. Note 503 that, experimentally, the onset of dynamic rupture (Fig. 3c) occurred at a length l = 4mm, which agrees well with 504 the predicted value (l = 3.9) for L_G . The dotted line denotes the critical stress intensity factor, K_c , above which 505 stationary cracks are unstable.

A comparison of K(l) for the center crack (K1D in Extended Data Fig. 2c) and for the elliptical crack (K2D in Extended Data Fig. 2c) is presented in Extended Data Fig. 2c. The parameters required for the computation of this correction were the finite distance of l = 0 from the block's far edge (b=150mm), the interface width (w=5.5), and the propagation direction, x.

The onset of fracture is dictated by energy balance, when the static energy release rate, $G_{S}(l) = K^{2}/E$ 510 511 becomes equal to Γ , where E is the static Young's modulus for PMMA. The value of l, at the point of 512 instability is defined as the Griffith length, L_G . The values of L_G in our experiments are both significantly 513 greater than the lengths at which the slow propagation of the nucleation fronts initiate and are consistent 514 with the lengths of the nucleation fronts at the onset of dynamic rupture (at times $t = \tau$ in the text). For example, using the measured value¹ of $\Gamma = 1 J/m^2$, the calculated values of L_G for the 6 different 515 experiments performed at the barrier location of 50mm (much of the data presented in Figs. 2-5) yield 516 517 predicted Griffith lengths between 3.5 and 4 mm. This compares well to the corresponding measured transition distances of 4 ± 0.25 mm. This comparison is presented in Extended Data Figure 3. In the 518 519 experiment presented in Fig. 3c (black line), $\xi(t = \tau) \sim 5$ mm, where $\xi_0/2 \sim 1.2$ mm (Fig. 2d- inset) so 520 $L_G = \xi(t) - \xi_0/2 \sim 3.8mm.$



521

Extended Data Figure 3. Comparison of theoretical and measured Griffith lengths. Compared are the calculated Griffith lengths, L_G with the measured distances of nucleation lengths, $\xi(\tau)$ from the nucleation locations, $\xi_0/2$. The data shown correspond to the red data within Fig. 5a, in which nucleation fronts triggered rapid rupture fronts.

525 Critical nucleation length from Rate and State and Slip Weakening friction

526 Rate and State Friction

527 Calculations⁴³ of the stability of a steadily sliding block have been performed, assuming that the frictional 528 resistance τ is given by Rate and State friction^{48,49}:

529
$$\tau_{ss}(\sigma, V) = \tau_0 + f(\sigma) + \frac{(a-b)\sigma_0}{V_0}(V - V_0)$$

530 where τ_0 , σ_0 and V_o are, respectively, the shear stress, normal stress and sliding velocities in steady-state 531 frictional sliding. The value a - b is defined by $a - b = \frac{V \partial \tau_{ss}(\sigma, V)}{\partial V} / \sigma$ where, V and σ are the block's 532 instantaneous sliding velocity and applied normal stress. For PMMA the values of the coefficients $a \sim$ 533 0.008 and $b \sim 0.015$ have been independently measured^{40,50,51} for our experimental conditions. Rice et al.⁴³ derived a critical nucleation length at which steady sliding becomes unstable. This length is
 given by:

536

537 $L_c = \mu D_c \pi / [(b-a)\sigma_0]$

In our experiments, the shear modulus, $\mu = 2$ GPa, $\sigma_0 = 4.5$ MPa, and the slip length D_c was obtained from direct measurements of the cohesive zone size of propagating ruptures³⁹ yielding $D_c \sim 5\mu m$. Substituting these quantities into the expression for L_c we find that $L_c \sim 1m$. This value is over two orders of magnitude greater than the 4-8mm size (e.g. Fig. 5a in the text) of the measured nucleation lengths $\xi(\tau)$ in our experiments.

543 Slip Weakening Friction

544 Uenishi and Rice^{37,52} calculated the critical nucleation length assuming a slip weakening friction law and 545 found that:

546
$$L_c = 1.158 \cdot \frac{\mu}{W} = 1.158\mu \cdot D_c / (\sigma_{peak} - \sigma_{res})$$

where σ_{peak} and σ_{res} are, respectively, the peak and residual frictional resistances of an interface. We can relate these quantities to the fracture energy, Γ , which (in a slip weakening model) is given by $\Gamma = \frac{1}{2}$. $D_c(\sigma_{peak} - \sigma_{res})$. Substituting this expression into that for L_c and using the measured value of $\Gamma = 1J/m^2$, we find that $L_c = 7cm$ – or about an order of magnitude greater than the measured transition lengths (3-8mm) to rapid ruptures that we have associated with the Griffith length.

552

553 Linear scaling of τ^{-1} and σ_{ind}

554 The linear relation between τ^{-1} and σ_{ind} , may be understood by combining the inertia-less dynamics of 555 the nucleation fronts with fracture mechanics.

Empirically, we find that $v_{nuc} \propto \sigma_{ind} - \sigma_{thresh} \equiv \beta(\sigma_{ind} - \sigma_{thresh})$, where σ_{thresh} is the threshold 556 stress for the onset of nucleation fronts (see Fig. 4). This linear relation could be expected from the class 557 of fluctuation-dissipation phenomena in which inertia-less motion takes place when forces are balanced 558 559 by dissipation. Examples of such phenomena include Stoke's drag in fluids or Ohm's law. In our case, the stress, σ_{ind} , is balanced by the frictional resistance of the random contacts that compose the interface. 560 561 In the case of Stoke's flow or Ohm's law the external field driving the motion (gravity or an electric field) 562 is balanced by, respectively, random molecular motion or the interaction of charge carriers with random 563 impurities.

564 Using this, we can obtain a linear relation between τ^{-1} and σ_{ind} by simply invoking fracture mechanics. 565 We have shown that $\xi(\tau) - \xi_0/2 = L_G$ (L_G is the Griffith length). By definition, $v_{nuc} \cdot \tau = L_G$, so $L_G \propto$

566
$$(\sigma_{ind} - \sigma_{thresh}) \cdot \tau$$

567 By fracture mechanics $L_G \propto \frac{K_c^2}{\sigma_{ind}^2}$ where K_c is a constant (given by the fracture energy).

568 Putting these expressions for L_G together, we obtain a prediction that $\tau \propto \frac{K_c^2}{\sigma_{ind}^2} \cdot (\sigma_{ind} - \sigma_{thresh})^{-1}$.

569 Since, for each experiment, σ_{ind} does not change drastically for each location, we find that $\tau^{-1} \propto \sigma_{ind} - \sigma_{thresh}$, as indicated in Figure 4b.

571